## Edgar Filing: XCEL ENERGY INC - Form 4

XCEL ENI Form 4 August 19,											
FOR	ЛД								PPROVAL		
	UNITED	STATES S		RITIES A shington			COMMISSIO	N OMB Number:	3235-028	87	
if no lo subject Section Form 4 Form 5 obligat may co	to 16. or Filed put ions Section 17(	rsuant to Se (a) of the Pu	ENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> O'Connor Timothy John			2. Issuer Name <b>and</b> Ticker or Trading Symbol XCEL ENERGY INC [XEL]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 414 NICOLLET MALL			3. Date of Earliest Transaction (Month/Day/Year) 08/19/2014				Director 10% Owner Officer (give title Other (specify below) below) Senior VP and CNO				
(Street) 4. If Amendment, Date Filed(Month/Day/Year) MINNEAPOLIS, MN 55401							erson				
(City)	(State)	(Zip)	Tabl	a I Nan I	Doministry	Soonwition A		of on Donoficio	lle: Ormod		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed	l Pate, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Ro	eport on a separate line	e for each class	s of secu	rities benet	Perso inform requir	ns who res nation cont ed to respo	or indirectly. Spond to the colle cained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi

number.

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year	(Instr. 8)	Acquired (A) or Disposed (D) (Instr. 3, 4 and 5)	l of					(Instr.
			Code V	(A)	· · ·	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	\$ 0	08/19/2014	А	23.74		<u>(1)</u>	(1)	Common Stock	23.74	\$ 30

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
O'Connor Timothy John 414 NICOLLET MALL MINNEAPOLIS, MN 55401			Senior VP and CNO					
Signatures								
Tara M. Heine, Attorney in Fac O'Connor	t for Tim	othy J.	08/19/2014					

## **Explanation of Responses:**

\*\*Signature of Reporting Person

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares of phantom stock are payable in cash following termination of reporting persons employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date