McConnell Michael J Form 4 July 20, 2010

# FORM 4

### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McConnell Michael J Issuer Symbol **COLLECTORS UNIVERSE INC** (Check all applicable) [CLCT] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner Other (specify X\_ Officer (give title ) (Month/Day/Year) below) 2031 TONDOLEA LANE 07/19/2010 Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

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(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative Securiti	ies Ac	quired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquor(A) or Disposed of (D) (Instr. 3, 4 and 5)	of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	07/19/2010		Code V	30,000	Price \$ 0	Reported Transaction(s) (Instr. 3 and 4) 186,954	(Instr. 4)	
Stock  Common Stock				<u></u>		33,000	I (2)	McConnell Family Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: McConnell Michael J - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivativ	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable	Date	Title	Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address		•	

Director 10% Owner Officer Other

Relationships

McConnell Michael J

2031 TONDOLEA LANE X Chief Executive Officer

LA CANADA, CA 91011

## **Signatures**

Christine 07/20/2010 Kakour

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Awarded under the 2006 Equity Incentive Plan (which is a Section 16b-3 plan). All of these shares are subject to forfeiture if there

is a cessation of Reporting Person's service with the Issuer prior to June 30, 2011. If the Reporting Person continues to be employed, then 25% of the shares will cease to be subject to the risk of forfeiture ("vests") on June 30, 2011 and another 25% of the shares will vest on June 30, 2012. The remaining 50% of the shares will be forfeited, in their entirety, if the Company fails to **(1)** achieve an operating income goal that has been established by the Compensation Committee for fiscal year 2011. If that goal is achieved and there is continued service at each vesting date, then (i) another 25% of the shares will vest on the date it is determined that such operating income goal was achieved, and (ii) the remaining 25% of the shares will vest in two equal annual installments on June 30, 2012 and 2013, respectively.

These 33,000 shares are owned by the McConnell Family Revocable Trust, of which the Reporting Person is a trustee and in **(2)** which he has a pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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