PRUDENTIAL FINANCIAL INC

Form 4

January 07, 2003

SEC Form 4

	COMMISSION				0	OMB APPROVAL	
e. STATEM Filed pursuant to S	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility						
1. Name and Address of Reporting Person* Van Ness, Stanley C. (Last) (First) (Middle)			4. Statement for (Month/Year) January 3, 2003		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
c/o Prudential Financial, Inc., Corporate Compliance 751 Broad Street, 4th floor (Street) Newark, NJ 07102-3777			Date of	dment, Ot Original Year) Of	X Director10% OwnerOfficer Other Officer/Other Description 7. Individual or Joint/Group Filing (Check Applicable Line) X Individual FilingJoint/Group Filing		
(Zip)							
• •	•	4. Securities Acqu Disposed (D) Of (Instr. 3, 4, and	aired (A) or 5)	5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4)	6. Owner- ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Filed pursuant to S Holding Compar porting Person* (Middle) (Inc., Corporate r eet) Z. Transaction Date 3	Filed pursuant to Section 16(a) o Holding Company Act of 1935 porting Person* (Middle) (Inc., Corporate r eet) (Zip) Prudential H (PRU) 3. I.R.S. Ider Number of Person, if (voluntary) 2. Transaction Date (Month/Day/Year) 3. Transaction Code and Voluntary Code (Instr. 8)	Tommission washington, D.C. Washington, D.C. E. STATEMENT OF CHANGES IN BE Filed pursuant to Section 16(a) of the Securities Excurbility Public Utility. Holding Company Act of 1935 or Section 30(f) of porting Person* 2. Issuer Name and Ticker or Trading Symbol Prudential Financial, Inc. (PRU) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) Person, if an entity (voluntary) E. Securities Acquired, Disposed of, or Beneficially Owner (Month/Day/Year) 3. Transaction Code and Voluntary Code (Instr. 3, 4, and Voluntary Code (Instr. 8) Code V Amount	COMMISSION Washington, D.C. 20549 E. STATEMENT OF CHANGES IN BENEFICIA Filed pursuant to Section 16(a) of the Securities Exchange Act or Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment or Trading Symbol Prudential Financial, Inc. (PRU) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 5. If Amen Date of (Month/ (Month/ Month/ 2. Transaction Date (Month/Day/Year) 2. Transaction Code and Voluntary Code (Instr. 8) COMMISSION Washington, D.C. 20549 4. Securities Exchange Act or Public Utility 4. Statemer (Month/ January (Month/ 5. If Amen Date of (Month/ (Instr. 3, 4, and 5)	COMMISSION Washington, D.C. 20549 e. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of porting Person* 2. Issuer Name and Ticker or Trading Symbol Prudential Financial, Inc. (PRU) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 5. If Amendment, Date of Original (Month/Year) Of Disposed Of, or Beneficially Owned 2. Transaction Date (Month/Day/Year) 3. Transaction Code and Voluntary Code (Instr. 3, 4, and 5) Code V	COMMISSION Washington, D.C. 20549 E. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Expires: Estimater Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Prudential Financial, Inc. (PRU) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) Atterior (Zip) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) Atterior (Zip) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 5. If Amendment, Date of Original (Month/Year) Officer/Other Description 7. Individual Filing Joint/Group Filing Portice (Month/Day/Year) A Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date (Month/Day/Year) A Securities Acquired (A) or Securities Beneficially Owned at End ofMonth (Instr. 3, 4, and 5) Code (Instr. 8) A Mount A/D A Mount A/D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(over) SEC 1474 (3-99)

Van Ness, Stanley C. - January 2003

Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative	2. Conversion or	T	4. Transaction			7. Title and Amount of	8. Price of	9. Number of Derivative	10. Owner-	11. Nature of Indirect
Security (Instr. 3)	Exercise Price of	Date		Derivative Securities	Expiration Date(ED)	Underlying Securities	Derivative Security	Securities Beneficially	ship Form of	Beneficial Ownership

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).	By: /s/ Kathleen M. Gibson 01-06-2003 ** Signature of Reporting Person
	Date
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,	Power of Attorney
See Instruction 6 for procedure.	Page 2 SEC 1474 (3-99)
Potential persons who are to respond to the collection of information contained in this form	
are not	
required to respond unless the form displays a currently valid OMB number.	

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Form 4 (continued)

FOOTNOTE Descriptions for Prudential Financial, Inc. (PRU)

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Stanley C. Van Ness c/o Prudential Financial, Inc., Corporate Compliance 751 Broad Street, 4th floor Newark, NJ 07102-3777

Explanation of responses:

(1) Notional shares are payable in common stock at a date following termination of services from the Board of Directors.

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