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| ROCKWEL Form 4 | L COLLINS INC | 2 | | | | | | | | | | |
|---|---|-------------------------------|--|----------|-------------------------|------------------------------|--------|---|---|--|---------------------------------|--|
| July 30, 201 | 3 | | | | | | | | | | | |
| FORM | ЛЛ | | | | | | | | | OMB AP | PROVAL | |
| | • • UNITED | STATES | | | | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Section 16. Form 4 or Form 5 obligations may continue. Filed pursuant to S Section 17(a) of the 1 | | | Washington, D.C. 20549 5 CHANGES IN BENEFICIAL OWNER SECURITIES Section 16(a) of the Securities Exchange Ac Public Utility Holding Company Act of 193 of the Investment Company Act of 1940 | | | | | | Act of 1934, 1935 or Section | January 31Expires:2005Estimated averageburden hours perresponse0.5 | | |
| <i>See</i> Inst 1(b). | ruction | 50(II) | or the h | livestii | | i Compan | iy At | 1 01 1940 |) | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and A | Address of Reporting KENT L | Person <u>*</u> | Symbol | | | d Ticker or OLLINS | | | 5. Relationship of H Issuer | | | |
| (Last) | | | | | of Earliest Transaction | | | | (Check all applicable) | | | |
| M/S 124-12 NE | 23, 400 COLLINS | S ROAD | (Month/ 07/29/2 | - | ar) | | | | Director X Officer (give t below) ExecVP and C | itle Other below) | Owner r (specify cial Sys | |
| CEDAR R | (Street) APIDS, IA 52498 | -0001 | 4. If Am Filed(Mo | | | ate Origina r) | 1 | | 6. Individual or Join Applicable Line) _X_ Form filed by Or Form filed by Mo | ne Reporting Per | son | |
| (City) | (State) | (Zip) | Tak | la I N | o n 1 | Dominations | Second | | Person | on Donoficial | . Ourned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemo Execution any | ution Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) th/Day/Year) (Instr. 8) (A) (A) (A) (A) (A) (A) (A) (A | | | | | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common | | | | Code | V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Stock | 07/29/2013 | | | М | | 9,971 | А | \$ 44.85 | 37,390.03 <u>(1)</u> | D | | |
| Common Stock | 07/29/2013 | | | М | | 13,374 | A | \$ 57.92 | 50,764.03 <u>(1)</u> | D | | |
| Common Stock | 07/29/2013 | | | S | | 22,635 | D | \$ 70.6007 | , 28,129.03 <u>(1)</u> | D | | |
| Common Stock | 07/29/2013 | | | S | | 710 | D | \$ 70.4628 | 27,419.03 <u>(1)</u> | D | | |
| Common Stock | | | | | | | | | 4,283.2823 (2) | I | By Savings Plan | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exer Expiration D (Month/Day | ate | 7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---------|--|--------------------|--|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) (<u>3)</u> | \$ 44.85 | 07/29/2013 | | М | 9,971 | <u>(4)</u> | 11/17/2015 | Common Stock | 9,971 |
| Stock Option (Right to Buy) (3) | \$ 57.92 | 07/29/2013 | | М | 13,374 | <u>(4)</u> | 11/09/2016 | Common Stock | 13,374 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| STATLER KENT L M/S 124-123 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001 | | | ExecVP and COO, Commercial Sys | | | | |

Signatures

Gary R. Chadick 07/30/2013 <u>**Signature of Date Reporting Person</u>

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of July 1, 2013.

- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of July 1, 2013.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.