

JONES CLAYTON M  
Form 4  
May 29, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JONES CLAYTON M

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

M/S 124-323, 400 COLLINS ROAD  
NE

3. Date of Earliest Transaction (Month/Day/Year)  
05/24/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chairman and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	05/24/2013		S(1)		2,300 D \$ 65.99	186,252	D
Common Stock	05/24/2013		S(1)		1,900 D \$ 66	184,352	D
Common Stock	05/24/2013		S(1)		1,900 D \$ 66.01	182,452	D
Common Stock	05/24/2013		S(1)		316 D \$ 66.02	182,136	D
Common Stock	05/24/2013		S(1)		100 D \$ 66.025	182,036	D

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Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	1,000	D	\$ 66.03	181,036	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	100	D	\$ 66.035	180,936	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	1,800	D	\$ 66.04	179,136	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	4,033	D	\$ 66.05	175,103	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	2,700	D	\$ 66.06	172,403	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	108	D	\$ 66.07	172,295	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	2,988	D	\$ 66.08	169,307	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	3,551	D	\$ 66.09	165,756	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	3,200	D	\$ 66.1	162,556	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	2,036	D	\$ 66.11	160,520	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	73	D	\$ 66.12	160,447	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	1,506	D	\$ 66.13	158,941	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	136	D	\$ 66.14	158,805	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	300	D	\$ 66.15	158,505	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	1,648	D	\$ 66.16	156,857	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	100	D	\$ 66.165	156,757	D	
Common Stock	05/24/2013	<u>S<sup>(1)</sup></u>	324	D	\$ 66.17	156,433	D	
Common Stock						14,887.5444 <u>(2)</u>	I	By Savings Plan
Common Stock						21,950 <u>(3)</u>	I	By GRATs

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3, 4, and 5)
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JONES CLAYTON M M/S 124-323 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001	X		Chairman and CEO	

## Signatures

Gary R. Chadick,  
Attorney-in-Fact  
Date: 05/29/2013

        Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 15, 2013.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of May 1, 2013.
- (3) Indirectly owned by reporting person who serves as trustee of grantor retained annuity trusts (GRATs). The GRATs hold shares originally contributed by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.