

ALLEN PATRICK E  
Form 4  
March 18, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ALLEN PATRICK E

(Last) (First) (Middle)

M/S 124-318, 400 COLLINS ROAD  
NE

(Street)

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction (Month/Day/Year)

03/14/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr. VP and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	03/14/2013		M	24,000	A \$ 36.55	59,941.044 (1)	D
Common Stock	03/14/2013		S	1,400	D \$ 63.6	58,541.044 (1)	D
Common Stock	03/14/2013		S	400	D \$ 63.61	58,141.044 (1)	D
Common Stock	03/14/2013		S	400	D \$ 63.62	57,741.044 (1)	D
Common Stock	03/14/2013		S	200	D \$ 63.63	57,541.044 (1)	D

Edgar Filing: ALLEN PATRICK E - Form 4

Common Stock	03/14/2013	S	400	D	\$ 63.64	<u>57,141.044</u> (1)	D
Common Stock	03/14/2013	S	600	D	\$ 63.66	<u>56,541.044</u> (1)	D
Common Stock	03/14/2013	S	1,950	D	\$ 63.67	<u>54,591.044</u> (1)	D
Common Stock	03/14/2013	S	502	D	\$ 63.68	<u>54,089.044</u> (1)	D
Common Stock	03/14/2013	S	100	D	\$ 63.695	<u>53,989.044</u> (1)	D
Common Stock	03/14/2013	S	2,100	D	\$ 63.51	<u>51,889.044</u> (1)	D
Common Stock	03/14/2013	S	800	D	\$ 63.52	<u>51,089.044</u> (1)	D
Common Stock	03/14/2013	S	300	D	\$ 63.53	<u>50,789.044</u> (1)	D
Common Stock	03/14/2013	S	300	D	\$ 63.54	<u>50,489.044</u> (1)	D
Common Stock	03/14/2013	S	2,700	D	\$ 63.55	<u>47,789.044</u> (1)	D
Common Stock	03/14/2013	S	300	D	\$ 63.56	<u>47,489.044</u> (1)	D
Common Stock	03/14/2013	S	400	D	\$ 63.57	<u>47,089.044</u> (1)	D
Common Stock	03/14/2013	S	578	D	\$ 63.58	<u>46,511.044</u> (1)	D
Common Stock	03/14/2013	S	800	D	\$ 63.59	<u>45,711.044</u> (1)	D
Common Stock	03/14/2013	S	400	D	\$ 63.39	<u>45,311.044</u> (1)	D
Common Stock	03/14/2013	S	100	D	\$ 63.4	<u>45,211.044</u> (1)	D
Common Stock	03/14/2013	S	100	D	\$ 63.41	<u>45,111.044</u> (1)	D
Common Stock	03/14/2013	S	500	D	\$ 63.44	<u>44,611.044</u> (1)	D
Common Stock	03/14/2013	S	1,600	D	\$ 63.46	<u>43,011.044</u> (1)	D
Common Stock	03/14/2013	S	5,100	D	\$ 63.45	<u>37,911.044</u> (1)	D
	03/14/2013	S	800	D	\$ 63.48		D

Edgar Filing: ALLEN PATRICK E - Form 4

Common Stock						37,111.044		
						<u>(1)</u>		
Common Stock	03/14/2013		S	800	D	\$ 63.49	36,311.044	D
							<u>(1)</u>	
Common Stock	03/14/2013		S	100	D	\$ 63.5	36,211.044	D
							<u>(1)</u>	
Common Stock	03/14/2013		S	270	D	\$ 63.37	35,941.044	D
							<u>(1)</u>	
Common Stock							5,944,9285	I
							<u>(2)</u>	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy) <sup>(3)</sup>	\$ 36.55	03/14/2013		M	24,000	<u>(4)</u> 11/02/2014	Common Stock	24,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLEN PATRICK E M/S 124-318 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP and CFO	

## Signatures

Gary R. Chadick,  
Attorney-in-Fact

03/15/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of March 1, 2013.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of March 1, 2013.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.