STATLER KENT L Form 4

March 15, 2010

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per 0.5 response...

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of STATLER KENT I		2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(I t)	0.00	ROCKWELL COLLINS INC [COL]	(Check all applicable)			
(Last) (Firs	t) (Middle)	3. Date of Earliest Transaction				
		(Month/Day/Year)	Director 10% Owner			
M/S 124-123, 400 COLLINS ROAD		03/12/2010	_X_ Officer (give title Other (specify			
NE			below) below) ExecVP and COO, Commercial Sys			
(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
CEDAR RAPIDS,	[A 52498-0001	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit bor Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/12/2010		M	4,768	A	\$ 20.97	16,308.676 (1)	D	
Common Stock	03/12/2010		M	4,222	A	\$ 23.68	20,530.676 (1)	D	
Common Stock	03/12/2010		M	3,575	A	\$ 27.97	24,105.676 (1)	D	
Common Stock	03/12/2010		M	7,824	A	\$ 36.55	31,929.676 (1)	D	
Common Stock	03/12/2010		S	20,389	D	\$ 60.4234	11,540.676 (1)	D	

Reminder, Report on a separate fille for each class of securities oct	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)
Common Stock Reminder: Report on a separate line for each class of securities ber	3,166.73 (2) I	By Savings Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) (3)	\$ 20.97	03/12/2010		M	4,768	<u>(4)</u>	09/11/2012	Common Stock	4,768
Employee Stock Option (Right to Buy) (3)	\$ 23.68	03/12/2010		M	4,222	<u>(4)</u>	01/06/2013	Common Stock	4,222
Employee Stock Option (Right to Buy) (3)	\$ 27.97	03/12/2010		M	3,575	<u>(4)</u>	11/06/2013	Common Stock	3,575
Employee Stock Option (Right to Buy) (3)	\$ 36.55	03/12/2010		M	7,824	<u>(4)</u>	11/02/2014	Common Stock	7,824

#### Edgar Filing: STATLER KENT L - Form 4

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STATLER KENT L M/S 124-123 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001

ExecVP and COO, Commercial Sys

#### **Signatures**

Gary R. Chadick, Attorney-in-Fact 03/15/2010

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of March 3, 2010.
- (2) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of February 1, 2010.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options vested in three substantially equal annual installments and are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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