

ROCKWELL COLLINS INC  
Form 3  
June 09, 2008

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â ROKOS DAVID S.		(Month/Day/Year)	ROCKWELL COLLINS INC [COL]	
(Last)	(First)	(Middle)	06/02/2008	
M/S 124-318,Â 400 COLLINS ROAD NE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)	(Check all applicable)			
	<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)	
	<input checked="" type="checkbox"/> Officer	<input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person	
	(give title below) (specify below)		<input type="checkbox"/> Form filed by More than One Reporting Person	
	Vice President & Treasurer			

CEDAR RAPIDS,Â IAÂ 52498-0001  
(City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,055.754	I	By Savings Plan <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(3)</sup>	07/05/2011	Common stock 5,000	\$ 22.35 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(3)</sup>	09/11/2012	Common Stock 3,300	\$ 20.97 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(3)</sup>	11/06/2013	Common Stock 3,600	\$ 27.97 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(3)</sup>	11/02/2014	Common Stock 2,700	\$ 36.55 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(4)</sup>	11/17/2015	Common Stock 1,460	\$ 44.85 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(5)</sup>	11/09/2016	Common Stock 1,090	\$ 57.92 D Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(6)</sup>	11/13/2017	Common Stock 770	\$ 74.05 D Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROKOS DAVID S. M/S 124-318 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001	Â	Â	Â Vice President & Treasurer	Â

## Signatures

Gary R. Chadick,  
Attorney-in-Fact 06/09/2008

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of June 4, 2008.
- (2) Stock options granted under the Company's stock based plans.
- (3) The options vested in three substantially equal annual installments and are currently exercisable.
- (4) 973 options are currently exercisable; 487 options become exercisable on November 17, 2008.
- (5) 363 options are currently exercisable; 363 options become exercisable on November 9, 2008; 364 options become exercisable on November 9, 2009.
- (6) 256 options become exercisable on November 13, 2008; 257 options become exercisable on November 13, 2009; 257 options become exercisable on November 13, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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