#### Edgar Filing: KIRCHENBAUER RONALD W - Form 4

#### KIRCHENBAUER RONALD W

Form 4

December 18, 2006

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** KIRCHENBAUER RONALD W			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			ROCKWELL COLLINS INC [COL]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
M/S 124-223, 400 COLLINS ROAD NE			12/15/2006	_X_ Officer (give title Other (specify below)			
				Sr. VP, Human Resources			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
CEDAR RAPIDS, IA 52498-0001				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

							Person		
(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secui	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of 6. Securities Ownership Beneficially Form: Director (D) or Following Indirect (D) Reported (Instr. 4) Transaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	12/15/2006		Code V	Amount		Price	(Instr. 3 and 4) 10,977.3178	D	
Stock	12/15/2006		M	1,425	A	23.39	<u>(1)</u>	D	
Common Stock	12/15/2006		M	1,660	A	\$ 27.97	12,637.3178 (1)	D	
Common Stock	12/15/2006		F	1,269	D	\$ 62.83	11,368.3178 (1)	D	
Common Stock							974.7381 (2)	Ι	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23.39	12/15/2006		M	1,425	(3)	06/11/2013	Common Stock	1,425
Employee Stock Option (Right to Buy)	\$ 27.97	12/15/2006		M	1,660	(3)	11/06/2013	Common Stock	1,660

## **Reporting Owners**

Reporting Owner Name / Address						
	Director	10% Owner	Officer	Other		

KIRCHENBAUER RONALD W M/S 124-223 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001

Sr. VP, Human Resources

Relationshins

## **Signatures**

/s/ Gary R. Chadick, Attorney-in-Fact

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of December 1, 2006.
- (2) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of December 1, 2006.
- (3) Options are currently exercisable.
- (4) Employee stock options granted pursuant to the Company's stock based plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.