Edgar Filing: STATLER KENT L - Form 4

STATLER KENT	T L									
Form 4	0.4									
November 04, 200									PPROVAL	
		STATES		RITIES A			COMMISSIO	N OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5		NGES IN SECUI	Expires: Estimated burden hou response	urs per						
obligations may continue. <i>See</i> Instruction 1(b).	*	a) of the H	Public U	Itility Hol	ding Con		of 1935 or Secti	on		
(Print or Type Respor	nses)									
1. Name and Address STATLER KEN	Person <u>*</u>	Symbol	er Name an WFLL C		Trading	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (N	(iddla)					(Cho	eck all applicable	e)	
(Last) (First) (Middle) M/S 108-174, 400 COLLINS ROAD NE			3. Date of Earliest Transaction (Month/Day/Year) 11/02/2004				Director 10% Owner X_ Officer (give title Other (specify below) Sr. VP, Operations			
F				4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
CEDAR RAPIDS	S, IA 52498-	0001					Person	where than one R	porting	
(City) (S	State)	(Zip)	Tab	le I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	nsaction Date th/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securiti onAcquired Disposed (Instr. 3, 4	(A) or of (D) and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Price	()			
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	Perso inform requir	ns who restation cont ed to resp ys a curre	or indirectly. Spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	· ·	Acquired (or Dispose (D) (Instr. 3, 4, and 5)	d of												
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share								
Stock Option (Right to Buy) (1)	\$ 36.55	11/02/2004		Α		18,000		11/02/2005 <u>(2)</u>	11/02/2014	Common Stock	18,000								
Repor	ting Ov	vners								Reporting Owners									

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
STATLER KENT L M/S 108-174 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP, Operations	
Signatures				
Gary R. Chadick, Attorney-in-Fact	11/0	3/2004		

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock options granted pursuant to the Rockwell Collins, Inc. 2001 Long-Term Incentives Plan.
- (2) The options vest in three substantially equal annual installments beginning 11/2/2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.