

Morgan Stanley China A Share Fund, Inc.
Form N-PX
August 24, 2017

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549
FORM N-PX

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT
COMPANY**

Investment Company Act file number **811-21926**

Morgan Stanley China A Share Fund, Inc.
(Exact name of registrant as specified in charter)

522 Fifth Avenue, New York, New York
(Address of principal executive offices)

10036
(Zip code)

Mary E. Mullin, Esq.

Executive Director

Morgan Stanley Investment Management Inc.

522 Fifth Avenue

New York, New York 10036
(Name and address of agent for service)

Registrant's telephone number, including area code: **800-231-2608**

Date of fiscal year end: **12/31**

Date of reporting period: **7/1/16 – 6/30/17**

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*****FORM N - Px REPORT*****

ICA File Number: 811-21926
 Reporting Period: 07/01/2016 - 06/30/2017
 Morgan Stanley China A Share Fund, Inc.

===== Morgan Stanley China A Share Fund, Inc. =====

AISINO CORP.

Ticker: 600271 Security ID: Y0017G124
 Meeting Date: DEC 19, 2016 Meeting Type: Special
 Record Date: DEC 12, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.01 | Approve Criteria to Select Plan Participants | For | For | Management |
| 1.02 | Approve Source and Number of Underlying Stocks | For | For | Management |
| 1.03 | Approve Allocation of Restricted Stocks | For | For | Management |
| 1.04 | Approve Duration, Grant Date, Lock-up Period and Unlocking Period | For | For | Management |
| 1.05 | Approve Grant Price | For | For | Management |
| 1.06 | Approve Conditions for Granting and Unlocking | For | For | Management |
| 1.07 | Approve Rule on Non-Transfer and Restriction on Sale | For | For | Management |
| 1.08 | Approve Methods and Procedures to Adjust the Incentive Plan | For | For | Management |
| 1.09 | Approve Rights and Obligations of the Plan Participants and the Company | For | For | Management |
| 1.10 | Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects | For | For | Management |
| 1.11 | Approve Accounting Treatment | For | For | Management |
| 1.12 | Approve Implementing Procedure | For | For | Management |
| 1.13 | Approve Principle of Restricted Stock Repurchase Cancellation | For | For | Management |
| 2 | Approve List of Participants for the 2016 Restricted Stocks Incentive Plan | For | For | Management |
| 3 | Approve Methods to Assess the Performance of Plan Participants | For | For | Management |
| 4 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |
| 5 | Elect Zhang Shaojun as Supervisor | For | For | Management |

 AISINO CORP.

Ticker: 600271 Security ID: Y0017G124
 Meeting Date: APR 13, 2017 Meeting Type: Annual
 Record Date: APR 06, 2017

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Adjustment to 2016 Daily Related-party Transactions | For | For | Management |
| 5 | Approve Annual Report | For | For | Management |
| 6 | Approve Profit Distribution | For | For | Management |
| 7 | Amend Articles of Association | For | Abstain | Management |
| 8 | Approve Appointment of 2017 Auditor | For | For | Management |
| 9 | Approve Termination of Acquisition by Issuance of Shares as well as Withdrawal of Application Documents | For | For | Management |
| 10 | Approve Signing of Asset Purchase Termination Agreement and Profit Commitment Compensation Termination Agreement | For | For | Management |

CHINA CONSTRUCTION BANK CORPORATION

Ticker: 939 Security ID: Y1397N119
 Meeting Date: JUN 15, 2017 Meeting Type: Annual
 Record Date: MAY 15, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-------|---|---------|-----------|------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of Supervisors | For | For | Management |
| 3 | Approve 2016 Final Financial Accounts | For | For | Management |
| 4 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 5 | Approve Budget of 2017 Fixed Assets Investment | For | For | Management |
| 6 | Approve Remuneration Distribution and Settlement Plan for Directors in 2015 | For | For | Management |
| 7 | Approve Remuneration Distribution and Settlement Plan for Supervisors in 2015 | For | For | Management |
| 8 | Elect Malcolm Christopher McCarthy as Director | For | For | Management |
| 9 | Elect Feng Bing as Director | For | For | Management |
| 10 | Elect Zhu Hailin as Director | For | For | Management |
| 11 | Elect Wu Min as Director | For | For | Management |
| 12 | Elect Zhang Qi as Director | For | For | Management |
| 13 | Elect Guo You as Supervisor | For | For | Management |
| 14 | Approve PricewaterhouseCoopers Zhong Tian LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 15 | Approve Issuance of Eligible Tier-2 Capital Instruments | For | For | Management |
| 16.01 | Approve Amendments to Articles of Association | For | Against | Management |
| 16.02 | Amend Rules of Procedures Regarding General Meetings of Shareholders' General Meetings | For | For | Management |
| 16.03 | Amend Rules of Procedures for the Board of Directors | For | For | Management |
| 16.04 | Amend Rules of Procedures for the Board of Supervisors | For | For | Management |

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 CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106
 Meeting Date: JUN 07, 2017 Meeting Type: Special
 Record Date: JUN 01, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Guarantee Provision for Wholly-owned Subsidiary | For | For | Management |

 CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106
 Meeting Date: NOV 16, 2016 Meeting Type: Special
 Record Date: NOV 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Authorization of the Board of Wuzhen Tours to Provide Guarantee | For | For | Management |
| 2 | Approve Change in Raised Funds Investment Project | For | For | Management |

 CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106
 Meeting Date: MAY 26, 2017 Meeting Type: Annual
 Record Date: MAY 22, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Annual Report and Summary | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Report of the Independent Directors | For | For | Management |
| 7 | Elect Zhai Jinbu as Independent Directors | For | For | Management |
| 8 | Approve Shareholder Return Plan in the Next Three Years | For | Against | Management |
| 9 | Approve 2017 Guarantee Plan | For | Against | Management |
| 10 | Approve Signing of 2016 Related-party Transaction Framework Agreement and 2017 Related-party Transactions | For | For | Management |
| 11 | Approve Appointment of Financial Auditor and Internal Control Auditor and Payment of Their Remuneration | For | For | Management |

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CHINA INTERNATIONAL TRAVEL SERVICE CORP. LTD.

Ticker: 601888 Security ID: Y149A3100
 Meeting Date: APR 12, 2017 Meeting Type: Special
 Record Date: APR 05, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2017 Investment Plan | For | Abstain | Management |
| 2 | Approve 2017 Draft of Financial Budget | For | Abstain | Management |
| 3 | Approve Appointment of Auditor | For | For | Management |
| 4 | Approve Appointment of Internal Control Auditor | For | For | Management |

CHINA INTERNATIONAL TRAVEL SERVICE CORPORATION LIMITED

Ticker: 601888 Security ID: Y149A3100
 Meeting Date: MAR 07, 2017 Meeting Type: Special
 Record Date: FEB 27, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1 | Amend Articles of Association | For | For | Management |
| 2 | Amend Rules and Procedures Regarding Meetings of Board of Supervisors | For | For | Management |
| 3 | Elect Nie Zhongquan as Supervisor | None | For | Shareholder |
| 4.01 | Elect Li Gang as Non-Independent Director | None | For | Shareholder |
| 4.02 | Elect Peng Hui as Non-Independent Director | None | For | Shareholder |
| 4.03 | Elect Li Renzhi as Non-Independent Director | None | For | Shareholder |
| 4.04 | Elect Chen Xianjun as Non-Independent Director | None | For | Shareholder |
| 5.01 | Elect Zhang Rungang as Independent Director | None | For | Shareholder |
| 5.02 | Elect Wang Bin as Independent Director | None | For | Shareholder |
| 5.03 | Elect Liu Yan as Independent Director | None | For | Shareholder |

CHINA INTERNATIONAL TRAVEL SERVICE CORPORATION LIMITED

Ticker: 601888 Security ID: Y149A3100
 Meeting Date: FEB 15, 2017 Meeting Type: Special
 Record Date: FEB 07, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Appointment of Auditor | For | For | Management |
| 2 | Approve Appointment of Internal Control Auditor | For | For | Management |
| 3 | Approve Financial Services Agreement | For | For | Management |

CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO.,LTD.

Ticker: 001979 Security ID: Y14907102

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Meeting Date: MAY 19, 2017

Meeting Type: Annual

Record Date: MAY 12, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-------|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve Appointment of External Auditors | For | For | Management |
| 7 | Approve Daily Related Party Transaction | For | For | Management |
| 8 | Approve Deposit and Loan from China Merchants Bank | For | For | Management |
| 9 | Approve 2017 Provision of Guarantee Limit to Subsidiary | For | For | Management |
| 10 | Approve Provision of Financial Assistance to Associate Subsidiary | For | For | Management |
| 11 | Approve Change in Raised Funds Investment Project | For | For | Management |
| 12 | Approve Authorization in Joint Investment and Related Party Transactions | For | For | Management |
| 13 | Approve Purchase of Liability Insurance for Directors, Supervisors and Senior Management Members | For | For | Management |
| 14 | Amend Management System for External Donations | For | For | Management |
| 15.01 | Amend Related-Party Transaction Management System | For | For | Management |
| 15.02 | Amend Management System of Raised Funds | For | For | Management |
| 16 | Approve Provision of Guarantee to Subsidiary | For | For | Management |

CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985

Security ID: Y1507R109

Meeting Date: NOV 17, 2016

Meeting Type: Special

Record Date: NOV 09, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Supplemental Financial Service Agreement | For | Against | Management |

CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985

Security ID: Y1507R109

Meeting Date: SEP 09, 2016

Meeting Type: Special

Record Date: SEP 02, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------------|---------|-----------|------------|
| 1 | Amend Articles of Association | For | For | Management |
| 2.01 | Elect Luan Tao as Supervisor | For | For | Management |

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 CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985 Security ID: Y1507R109
 Meeting Date: JUN 09, 2017 Meeting Type: Annual
 Record Date: JUN 02, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-------|---|---------|-----------|-------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Report of the Independent Directors | For | For | Management |
| 4 | Approve to Adjust the Allowance of Independent Directors | For | For | Management |
| 5 | Approve Financial Statements | For | For | Management |
| 6 | Approve 2017 Financial Budget Report | For | For | Management |
| 7 | Approve Profit Distribution | For | For | Management |
| 8 | Approve 2017 Investment Plan | For | For | Management |
| 9 | Approve Annual Report and Summary | For | For | Management |
| 10 | Amend Management System of External Guarantee | For | For | Management |
| 11 | Approve Provision of Guarantee to Hunan Taohuajiang Nuclear Power Co., Ltd. | For | Against | Management |
| 12 | Approve Adjustment on Preliminary Design Project for Sanmen Nuclear Power Phase I | For | For | Management |
| 13 | Amend Articles of Association | None | Against | Shareholder |
| 14 | Amend Rules and Procedures Regarding Meetings of Board of Directors | None | Against | Shareholder |
| 15.01 | Elect Zhang Tao as Non-independent Director | None | For | Shareholder |
| 15.02 | Elect Liu Bin as Non-independent Director | None | For | Shareholder |
| 15.03 | Elect Yu Jinhui as Non-independent Director | None | For | Shareholder |
| 15.04 | Elect Xiao Feng as Non-independent Director | None | For | Shareholder |
| 15.05 | Elect Shi Shuli as Non-independent Director | None | For | Shareholder |
| 16.01 | Elect Zhang Zhonglu as Supervisor | None | For | Shareholder |
| 16.02 | Elect Hu Qinfang as Supervisor | None | For | Shareholder |

 CHINA OVERSEAS GRAND OCEANS GROUP LTD.

Ticker: 81 Security ID: Y1505S117
 Meeting Date: NOV 21, 2016 Meeting Type: Special
 Record Date: NOV 17, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Sale and Purchase Agreement and Related Transactions | For | For | Management |

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CHINA OVERSEAS GRAND OCEANS GROUP LTD.

Ticker: 81 Security ID: Y1505S117
 Meeting Date: MAY 23, 2017 Meeting Type: Annual
 Record Date: MAY 17, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Final Dividend | For | For | Management |
| 3a | Elect Xiao Xiao as Director | For | For | Management |
| 3b | Elect Yung Kwok Kee, Billy as Director | For | For | Management |
| 3c | Elect Wang Man Kwan, Paul as Director | For | For | Management |
| 3d | Elect Lo Yiu Ching, Dantes as Director | For | For | Management |
| 3e | Elect Yang Lin as Director | For | For | Management |
| 4 | Authorize Board to Fix Remuneration of Directors | For | For | Management |
| 5 | Approve BDO Limited as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 6 | Authorize Repurchase of Issued Share Capital | For | For | Management |
| 7 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |
| 8 | Authorize Reissuance of Repurchased Shares | For | Against | Management |

CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Ticker: 601601 Security ID: Y1505Z111
 Meeting Date: JUN 09, 2017 Meeting Type: Annual
 Record Date: JUN 02, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Annual Report of A shares | For | For | Management |
| 4 | Approve 2016 Annual Report of H shares | For | For | Management |
| 5 | Approve 2016 Financial Statements And Statutory Reports | For | For | Management |
| 6 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 7 | Approve PricewaterhouseCoopers Zhong Tian LLP as PRC Auditor and Internal Control Auditor and PricewaterhouseCoopers as Overseas Auditor and Authorize Board to Fix Their Remuneration | For | For | Management |
| 8 | Approve 2016 Due Diligence Report | For | For | Management |
| 9 | Approve 2016 Report on Performance of Independent Directors | For | For | Management |
| 10 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |
| 11 | Approve Amendments to the Interim Administrative Measures on Related Party Transactions | For | For | Management |

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|-------|---|-----|---------|------------|
| 12 | Approve the Development Plan for the Years 2017 to 2019 | For | For | Management |
| 13 | Amend Articles of Association | For | Against | Management |
| 14 | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | For | Management |
| 15.01 | Elect Kong Qingwei as Director | For | For | Management |
| 15.02 | Elect Huo Lianhong as Director | For | For | Management |
| 15.03 | Elect Wang Jian as Director | For | Against | Management |
| 15.04 | Elect Wang Tayu as Director | For | For | Management |
| 15.05 | Elect Kong Xiangqing as Director | For | For | Management |
| 15.06 | Elect Zhu Keping as Director | For | For | Management |
| 15.07 | Elect Sun Xiaoning as Director | For | For | Management |
| 15.08 | Elect Wu Junhao as Director | For | For | Management |
| 15.09 | Elect Chen Xuanmin as Director | For | For | Management |
| 15.10 | Elect Bai Wei as Director | For | For | Management |
| 15.11 | Elect Lee Ka Sze, Carmelo as Director | For | Against | Management |
| 15.12 | Elect Lam Chi Kuen as Director | For | For | Management |
| 15.13 | Elect Zhou Zhonghui as Director | For | For | Management |
| 15.14 | Elect Gao Shanwen as Director | For | For | Management |
| 16.01 | Elect Zhang Xinmei as Supervisor | For | For | Management |
| 16.02 | Elect Lin Lichun as Supervisor | For | For | Management |
| 16.03 | Elect Zhou Zhuping as Supervisor | For | For | Management |

CHINA PETROLEUM & CHEMICAL CORP.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 386 | Security ID: | Y15010112 |
| Meeting Date: | JUN 28, 2017 | Meeting Type: | Annual |
| Record Date: | MAY 26, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Financial Reports | For | For | Management |
| 4 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 5 | Approve PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as External Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 6 | Authorize Board to Determine the 2017 Interim Profit Distribution | For | For | Management |
| 7 | Authorize Board to Determine the Proposed Plan for the Issuance of Debt Financing Instruments | For | Against | Management |
| 8 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |
| 9 | Elect Li Yunpeng as Director | For | For | Management |
| 10 | Elect Zhao Dong as Supervisor | For | For | Shareholder |
| 11 | Amend Articles of Association and Rules of Procedures of Board Meetings | For | For | Management |
| 12 | Approve Overseas Listing Plan of Sinopec Marketing Co., Ltd. | For | For | Management |
| 13 | Approve Compliance of Overseas Listing of Sinopec Marketing Co., Ltd. with the Circular on Issues in Relation to Regulating Overseas Listing of Subsidiaries of Domestic-Listed | For | For | Management |

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| Companies | | | | |
|-----------|--|-----|---------|------------|
| 14 | Approve Undertaking of the Company to Maintain its Independent Listing Status | For | For | Management |
| 15 | Approve Description of the Sustainable Profitability and Prospects of the Company | For | For | Management |
| 16 | Authorize Board to Deal with Overseas Listing Matters of Sinopec Marketing Co., Ltd. | For | For | Management |
| 17 | Approve Provision of Assured Entitlement to H-Share Shareholders of the Company Only for Overseas Listing of Sinopec Marketing Co., Ltd. | For | Against | Management |

CHINA PETROLEUM & CHEMICAL CORP.

| | |
|----------------------------|------------------------|
| Ticker: 386 | Security ID: Y15010112 |
| Meeting Date: JUN 28, 2017 | Meeting Type: Special |
| Record Date: MAY 26, 2017 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Provision of Assured Entitlement to H-Share Shareholders of the Company Only for Overseas Listing of Sinopec Marketing Co., Ltd. | For | Against | Management |

CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

| | |
|----------------------------|------------------------|
| Ticker: 000999 | Security ID: Y7503B105 |
| Meeting Date: DEC 22, 2016 | Meeting Type: Special |
| Record Date: DEC 15, 2016 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Approve Purchase of Bank Financial Products | For | For | Management |
| 2 | Approve Appointment of 2016 Auditor | For | For | Management |
| 3 | Elect Feng Yi as Supervisor | None | For | Shareholder |

CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

| | |
|----------------------------|------------------------|
| Ticker: 000999 | Security ID: Y7503B105 |
| Meeting Date: AUG 22, 2016 | Meeting Type: Special |
| Record Date: AUG 15, 2016 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------------------|---------|-----------|------------|
| 1 | Approve Acquisition of Equity | For | For | Management |

CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

| | |
|----------------|------------------------|
| Ticker: 000999 | Security ID: Y7503B105 |
|----------------|------------------------|

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Meeting Date: MAY 22, 2017

Meeting Type: Annual

Record Date: MAY 16, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve 2017 Daily Related-party Transactions | For | For | Management |
| 7 | Amend Articles of Association | For | For | Management |
| 8 | Approve Continued Business Cooperation with Zhuhai Huarun Bank | For | For | Management |

CHINA SOUTH PUBLISHING & MEDIA GROUP CO LTD

Ticker: 601098

Security ID: Y15174108

Meeting Date: MAY 17, 2017

Meeting Type: Annual

Record Date: MAY 10, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Report of the Independent Directors | For | For | Management |
| 4 | Approve Remuneration of Directors | For | For | Management |
| 5 | Approve Remuneration of Supervisors | For | For | Management |
| 6 | Approve Annual Report and Summary | For | For | Management |
| 7 | Approve Profit Distribution | For | For | Management |
| 8 | Approve Financial Statements | For | For | Management |
| 9 | Approve Appointment of Financial and Internal Control Auditor | For | For | Management |
| 10 | Approve Signing of Financial Service Agreement | For | For | Management |
| 11 | Approve 2016 Daily Related Party Transactions and 2017 Daily Related Party Transactions | For | For | Management |
| 12 | Approve Amendments to Articles of Association | For | Against | Management |

CHINA YANGTZE POWER CO., LTD.

Ticker: 600900

Security ID: Y1516Q142

Meeting Date: MAY 19, 2017

Meeting Type: Annual

Record Date: MAY 10, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |

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| | | | | |
|------|---|------|-----|-------------|
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Appointment of Financial Auditor | For | For | Management |
| 6 | Approve Appointment of Internal Control Auditor | For | For | Management |
| 7.01 | Elect Xia Ying as Supervisor | None | For | Shareholder |

CHINA YANGTZE POWER CO.,LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600900 | Security ID: | Y1516Q142 |
| Meeting Date: | MAR 09, 2017 | Meeting Type: | Special |
| Record Date: | MAR 01, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1 | Approve Appointment of 2016 Financial Report Auditor | For | For | Management |
| 2 | Approve Appointment of 2016 Internal Control Auditor | For | For | Management |
| 3 | Approve Continued Signing of Financial Service Framework Agreement with Three Gorges Finance Co., Ltd. | For | Against | Management |
| 4.01 | Elect Zhang Biyi as Independent Director | For | For | Management |
| 4.02 | Elect Wen Bingyou as Independent Director | For | For | Management |
| 5.01 | Elect Huang Lixin as Supervisor | None | For | Shareholder |

CITIC SECURITIES CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600030 | Security ID: | Y1639N117 |
| Meeting Date: | JUN 19, 2017 | Meeting Type: | Annual |
| Record Date: | MAY 19, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2016 Work Report of the Board | For | For | Management |
| 2 | Approve 2016 Work Report of the Supervisory Committee | For | For | Management |
| 3 | Approve 2016 Annual Report | For | For | Management |
| 4 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 5 | Approve PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as External Auditors, PricewaterhouseCoopers Zhong Tian LLP as Internal Control Auditor and Fix Their Remuneration | For | For | Management |
| 6 | Approve 2017 Estimated Investment Amount for Proprietary Business | For | For | Management |
| 8 | Approve Potential Related Party Transactions Involved in the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 9 | Approve Increase of Authorized Amount for the Business of Securitization Backed by Credit Asset Relating to Margin Finance Business | For | For | Management |

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|-------|---|-----|---------|------------|
| 12 | Approve Remuneration of Directors and Supervisors | For | For | Management |
| 13.01 | Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and the CITIC Group and its Subsidiaries and Associates | For | For | Management |
| 13.02 | Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and Companies in which Directors, Supervisors and Senior Management of the Company Hold Positions as Directors or Senior Management | For | For | Management |
| 7.01 | Approve Issuing Entity, Size of Issuance and Method of Issuance in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.02 | Approve Type of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.03 | Approve Term of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.04 | Approve Interest Rate of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.05 | Approve Security and Other Arrangements in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.06 | Approve Use of Proceeds in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.07 | Approve Issuing Price in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.08 | Approve Targets of Issue and the Placement Arrangements of the RMB Debt Financing Instruments to the Shareholders in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.09 | Approve Listing of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.10 | Approve Resolutions Validity Period in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.11 | Approve Authorization for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 10 | Approve Issuance of Equity or Equity-Linked Securities without | For | Against | Management |

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| | | | | | |
|----|-------------------------------|-----|-----|--|------------|
| | Preemptive Rights | | | | |
| 11 | Amend Articles of Association | For | For | | Management |

CITIC SECURITIES CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600030 | Security ID: | Y1639N109 |
| Meeting Date: | JUN 19, 2017 | Meeting Type: | Annual |
| Record Date: | JUN 12, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1 | Approve 2016 Work Report of the Board | For | For | Management |
| 2 | Approve 2016 Work Report of the Supervisory Committee | For | For | Management |
| 3 | Approve 2016 Annual Report | For | For | Management |
| 4 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 5 | Approve PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as External Auditors, PricewaterhouseCoopers Zhong Tian LLP as Internal Control Auditor and Fix Their Remuneration | For | For | Management |
| 6 | Approve 2017 Estimated Investment Amount for Proprietary Business | For | For | Management |
| 7.01 | Approve Issuing Entity, Size of Issuance and Method of Issuance in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.02 | Approve Type of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.03 | Approve Term of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.04 | Approve Interest Rate of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.05 | Approve Security and Other Arrangements in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.06 | Approve Use of Proceeds in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.07 | Approve Issuing Price in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.08 | Approve Targets of Issue and the Placement Arrangements of the RMB Debt Financing Instruments to the Shareholders in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.09 | Approve Listing of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore | For | For | Management |

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| | | | | |
|-------|---|-----|---------|------------|
| | Corporate Debt Financing Instruments | | | |
| 7.10 | Approve Resolutions Validity Period in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 7.11 | Approve Authorization for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 8 | Approve Potential Related Party Transactions Involved in the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments | For | For | Management |
| 9 | Approve Increase of Authorized Amount for the Business of Securitization Backed by Credit Asset Relating to Margin Finance Business | For | For | Management |
| 10 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |
| 11 | Amend Articles of Association | For | For | Management |
| 12 | Approve Remuneration of Directors and Supervisors | For | For | Management |
| 13.01 | Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and the CITIC Group and its Subsidiaries and Associates | For | For | Management |
| 13.02 | Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and Companies in which Directors, Supervisors and Senior Management of the Company Hold Positions as Directors or Senior Management | For | For | Management |

CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639N117
 Meeting Date: JAN 19, 2017 Meeting Type: Special
 Record Date: DEC 19, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Renewal of Securities and Financial Products Transactions and Services Framework Agreement, Annual Caps and Related Transactions | For | For | Management |

CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639N109
 Meeting Date: JAN 19, 2017 Meeting Type: Special
 Record Date: JAN 12, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|---|--|-----|-----|------------|
| 1 | Approve Renewal of Securities and Financial Products Transactions and Services Framework Agreement, Annual Caps and Related Transactions | For | For | Management |
|---|--|-----|-----|------------|

DAQIN RAILWAY CO., LTD.

| | |
|----------------------------|------------------------|
| Ticker: 601006 | Security ID: Y1997H108 |
| Meeting Date: MAY 19, 2017 | Meeting Type: Annual |
| Record Date: MAY 15, 2017 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-------|---|---------|-----------|-------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Financial Statements and 2017 Financial Budget Report | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve Application for Uniformly Registered Multi-breed Debt Financing Instruments | For | For | Management |
| 7 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |
| 8 | Approve Appointment of Financial Auditor | For | For | Management |
| 9 | Approve Re-appointment of Internal Control Auditor | For | For | Management |
| 10.01 | Elect Zhao Chunlei as Non-independent Director | None | For | Shareholder |
| 10.02 | Elect Yu Meng as Non-independent Director | None | For | Shareholder |
| 10.03 | Elect Guan Bolin as Non-independent Director | None | For | Shareholder |
| 10.04 | Elect Huang Songqing as Non-independent Director | None | For | Shareholder |
| 10.05 | Elect Tian Huimin as Non-independent Director | None | For | Shareholder |
| 10.06 | Elect Tian Yunshan as Non-independent Director | None | For | Shareholder |
| 11.01 | Elect Li Menggang as Independent Director | For | For | Management |
| 11.02 | Elect Yang Wandong as Independent Director | For | For | Management |
| 11.03 | Elect Zan Zhihong as Independent Director | For | For | Management |
| 11.04 | Elect Chen Lei as Independent Director | For | For | Management |
| 12.01 | Elect Zheng Jirong as Supervisor | For | For | Management |
| 12.02 | Elect Yang Jie as Supervisor | For | For | Management |
| 12.03 | Elect Liu Xingwu as Supervisor | For | For | Management |

DAQIN RAILWAY CO., LTD.

| | |
|----------------------------|------------------------|
| Ticker: 601006 | Security ID: Y1997H108 |
| Meeting Date: DEC 30, 2016 | Meeting Type: Special |

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Record Date: DEC 22, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Signing of Comprehensive Service Framework Agreement | For | For | Management |

FOCUSED PHOTONICS (HANGZHOU) INC.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 300203 | Security ID: | Y2574T101 |
| Meeting Date: | MAY 23, 2017 | Meeting Type: | Annual |
| Record Date: | MAY 18, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Annual Report and Summary | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Appointment of Auditor | For | For | Management |
| 7 | Approve Change in Business Scope and Amend Articles of Association | For | For | Management |
| 8 | Approve 2016 and 2017 Remuneration of Directors, Supervisors and Senior Management Members | For | For | Management |
| 9 | Approve Comprehensive Credit Line Application from Banks and Other Financial Institutions | For | For | Management |

GOERTEK INC.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 002241 | Security ID: | Y27360109 |
| Meeting Date: | OCT 20, 2016 | Meeting Type: | Special |
| Record Date: | OCT 14, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Jiang Bin as Non-Independent Director | For | For | Management |
| 1.2 | Elect Jiang Long as Non-Independent Director | For | For | Management |
| 1.3 | Elect Duan Huilu as Non-Independent Director | For | For | Management |
| 1.4 | Elect Wang Yongtian as Non-Independent Director | For | For | Management |
| 2.1 | Elect Xia Shanhong as Independent Director | For | For | Management |
| 2.2 | Elect Xiao Xing as Independent Director | For | Against | Management |
| 2.3 | Elect Wang Tianmiao as Independent Director | For | For | Management |
| 3 | Elect Sun Hongbin as Supervisor | None | For | Shareholder |
| 4 | Approve Allowance of Independent Directors | For | For | Management |
| 5 | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | For | Management |
| 6 | Approve to Formulate the Work System of | For | For | Management |

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| | | | | |
|----|---|-----|-----|-------------|
| | Independent Directors | | | |
| 7 | Amend Management System of Providing External Guarantees | For | For | Management |
| 8 | Amend Related-Party Transaction Management System | For | For | Management |
| 9 | Amend Rules and Procedures Regarding Meetings of Board of Supervisors | For | For | Management |
| 10 | Amend Management System of Raised Funds | For | For | Management |
| 11 | Approve Guarantee Provision Plan | For | For | Shareholder |

GOERTEK INC.

Ticker: 002241 Security ID: Y27360109
 Meeting Date: NOV 29, 2016 Meeting Type: Special
 Record Date: NOV 24, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Elect Liu Chengmin as Non-independent Director | For | For | Management |

GOERTEK INC.

Ticker: 002241 Security ID: Y27360109
 Meeting Date: MAR 01, 2017 Meeting Type: Special
 Record Date: FEB 22, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Management System of Investment Risks | For | For | Management |
| 2 | Approve Acquisition Agreement | For | For | Management |

GOERTEK INC.

Ticker: 002241 Security ID: Y27360109
 Meeting Date: APR 14, 2017 Meeting Type: Annual
 Record Date: APR 10, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Annual Report and Summary | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Report on the Deposit and Usage of Raised Funds | For | For | Management |
| 7 | Approve Guarantee Provision to Foreign Loan of Hong Kong Subsidiary | For | For | Management |
| 8 | Amend Articles of Association | For | For | Management |
| 9 | Approve Internal Audit System | For | For | Management |

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107
 Meeting Date: DEC 13, 2016 Meeting Type: Special
 Record Date: DEC 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Amend Articles of Association | For | For | Management |
| 2 | Approve Arrangements on the Use of Proceeds from 2015 Private Placement | For | For | Management |

HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107
 Meeting Date: JUL 20, 2016 Meeting Type: Special
 Record Date: JUL 15, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Cheng Limin as Non-Independent Director | None | For | Shareholder |

HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107
 Meeting Date: OCT 31, 2016 Meeting Type: Special
 Record Date: OCT 26, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Daily Related Party Transaction (Framework) Agreement with Related Party | For | Against | Management |
| 2 | Amend Rules and Procedures Regarding Meetings of Board of Supervisors | For | For | Management |
| 3 | Approve Appointment of 2016 Auditor and Internal Control Auditor | For | Against | Management |
| 4 | Amend Articles of Association | For | For | Management |

INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Ticker: 1398 Security ID: Y3990B104
 Meeting Date: JUN 27, 2017 Meeting Type: Annual
 Record Date: MAY 26, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve 2016 Work Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Work Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Audited Accounts | For | For | Management |
| 4 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 5 | Approve 2017 Fixed Asset Investment | For | For | Management |

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| | | | | |
|----|--|-----|-----|-------------|
| | Budget | | | |
| 6 | Approve KPMG Huazhen LLP as the Domestic External Auditor and KPMG as the International External Auditor and Authorize Board to Fix Their Remuneration | For | For | Management |
| 7 | Elect Ye Donghai as Director | For | For | Shareholder |
| 8 | Elect Mei Yingchun as Director | For | For | Shareholder |
| 9 | Elect Dong Shi as Director | For | For | Shareholder |
| 10 | Amend Articles of Association | For | For | Shareholder |

INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 1398 | Security ID: | Y3990B104 |
| Meeting Date: | NOV 29, 2016 | Meeting Type: | Special |
| Record Date: | OCT 28, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Gu Shu as Director | For | For | Management |
| 2 | Elect Sheila Colleen Bair as Director | For | For | Management |
| 3 | Approve 2015 Remuneration Plan to Directors and Supervisors | For | For | Management |
| 4 | Elect Wang Jingdong as Director | For | For | Shareholder |

INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600887 | Security ID: | Y40847108 |
| Meeting Date: | DEC 26, 2016 | Meeting Type: | Special |
| Record Date: | DEC 16, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Draft of Stock Option Incentive Plan and Restricted Stock Option Plan and its Summary | For | For | Management |
| 2 | Approve Methods to Assess the Performance of Plan Participants | For | For | Management |
| 3 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |

INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600887 | Security ID: | Y408DG116 |
| Meeting Date: | APR 21, 2017 | Meeting Type: | Annual |
| Record Date: | APR 12, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Annual Report and Summary | For | For | Management |
| 2 | Approve Report of the Board of Directors | For | For | Management |
| 3 | Approve Report of the Board of Supervisors | For | For | Management |
| 4 | Approve Company's Operating Strategy and Investment Plan | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 5 | Approve Financial Statements and 2017 Financial Budget Report | For | For | Management |
| 6 | Approve Profit Distribution | For | For | Management |
| 7.01 | Elect Pan Gang as Non-independent Director | For | For | Management |
| 7.02 | Elect Liu Chunhai as Non-independent Director | For | For | Management |
| 7.03 | Elect Hu Liping as Non-independent Director | For | For | Management |
| 7.04 | Elect Wang Xiaogang as Non-independent Director | For | For | Management |
| 7.05 | Elect Yan Junrong as Non-independent Director | For | For | Management |
| 7.06 | Elect Zhang Junping as Non-independent Director | For | For | Management |
| 7.07 | Elect Gao Debu as Independent Director | For | For | Management |
| 7.08 | Elect Gao Hong as Independent Director | For | For | Management |
| 7.09 | Elect Zhang Xinling as Independent Director | For | For | Management |
| 7.10 | Elect Lv Gang as Independent Director | For | For | Management |
| 7.11 | Elect Xiao Bin as Independent Director | For | For | Management |
| 8.01 | Elect Zhan Yiwen as Supervisor | For | For | Management |
| 8.02 | Elect Peng Heping as Supervisor | For | For | Management |
| 9 | Approve Remuneration of Directors | For | For | Management |
| 10 | Approve Remuneration of Supervisors | For | For | Management |
| 11 | Approve Report of the Independent Directors | For | For | Management |
| 12 | Approve Provision of Guarantee by Subsidiary | For | For | Management |
| 13 | Approve Amendments to Articles of Association | For | For | Management |
| 14 | Approve Issuance of Medium-term Notes and Ultra Short-term Financial Bill | For | For | Management |
| 15 | Approve Appointment of Financial and Internal Control Auditor as well as Fixing the Remuneration | For | For | Management |

JIANGSU EXPRESSWAY CO. LTD.

Ticker: 177 Security ID: Y4443L111
 Meeting Date: OCT 27, 2016 Meeting Type: Special
 Record Date: SEP 26, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-----------------------------|---------|-----------|------------|
| 1.01 | Elect Gu Dejun as Director | For | For | Management |
| 1.02 | Elect Wu Xinhua as Director | For | For | Management |

JIANGSU EXPRESSWAY CO. LTD.

Ticker: 00177 Security ID: Y4443L111
 Meeting Date: JUN 22, 2017 Meeting Type: Annual
 Record Date: MAY 22, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |

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| | | | | |
|-------|---|-----|-----|------------|
| 2 | Approve 2016 Report of the Supervisory Committee | For | For | Management |
| 3 | Approve 2016 Audit Report | For | For | Management |
| 4 | Approve 2016 Final Account Report | For | For | Management |
| 5 | Approve 2017 Financial Budget Report | For | For | Management |
| 6 | Approve 2016 Final Profit Distribution Plan and Final Dividend | For | For | Management |
| 7 | Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 8 | Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Internal Control Auditor and Authorize Board to Fix Their Remuneration | For | For | Management |
| 9 | Approve Issuance of Ultra-short-term Notes | For | For | Management |
| 10 | Approve Lending of Funds Raised by the Issuance of Ultra-short Term Notes | For | For | Management |
| 11.01 | Elect Yao Yongjia as Director | For | For | Management |
| 12.01 | Elect Chen Zhongyang as Supervisor | For | For | Management |

JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD.

Ticker: 002304 Security ID: Y444AE101
 Meeting Date: MAY 19, 2017 Meeting Type: Annual
 Record Date: MAY 16, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve Re-appointment of 2017 Financial Auditor | For | For | Management |
| 7 | Elect Wang Kai as Non-independent Director | For | For | Management |
| 8 | Approve Amendments to Articles of Association | For | For | Management |

KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108
 Meeting Date: MAY 18, 2017 Meeting Type: Annual
 Record Date: MAY 12, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Annual Report and Summary | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Report on the Deposit and Usage of Raised Funds | For | For | Management |
| 7 | Approve Internal Control Self-Evaluation Report | For | For | Management |
| 8 | Approve Re-appointment of Auditor | For | For | Management |
| 9 | Approve Application of Bank Credit Financing | For | For | Management |
| 10 | Approve Provision of Guarantee to Controlled Subsidiary | For | For | Management |
| 11 | Approve Use of Idle Raised Funds to Conduct Cash Management | For | For | Management |
| 12 | Approve Use of Idle Own Funds to Conduct Cash Management | For | For | Management |
| 13 | Approve Employee Share Purchase Plan (Draft) | For | For | Management |
| 14 | Approve Management System for Employee Share Purchase Plan | For | For | Management |
| 15 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |

KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 002470 | Security ID: | Y7684A108 |
| Meeting Date: | AUG 12, 2016 | Meeting Type: | Special |
| Record Date: | AUG 08, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Provision of Guarantee to Controlled Subsidiary | For | For | Management |

KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 002470 | Security ID: | Y7684A108 |
| Meeting Date: | JUN 23, 2017 | Meeting Type: | Special |
| Record Date: | JUN 19, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1 | Approve Profit Distribution | For | For | Management |
| 2 | Elect Yang Yan as Supervisor | None | For | Shareholder |
| 3.01 | Elect Wan Lianbu as Non-Independent Director | None | For | Shareholder |
| 3.02 | Elect Zhang Xiaoyi as Non-Independent Director | None | For | Shareholder |
| 3.03 | Elect Gao Yiwu as Non-Independent Director | None | For | Shareholder |
| 3.04 | Elect Chen Hongkun as Non-Independent Director | None | For | Shareholder |
| 3.05 | Elect Cui Bin as Non-Independent Director | None | For | Shareholder |
| 4.01 | Elect Wang Rong as Independent Director | None | For | Shareholder |
| 4.02 | Elect Wang Xiaofeng as Independent Director | None | For | Shareholder |
| 4.03 | Elect Zhu Zuqiang as Independent Director | None | For | Shareholder |
| 4.04 | Elect Lv Xiaofeng as Independent | None | For | Shareholder |

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Director

KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108
 Meeting Date: NOV 18, 2016 Meeting Type: Special
 Record Date: NOV 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--------------------------------|---------|-----------|------------|
| 1 | Approve Provision of Guarantee | For | For | Management |

KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108
 Meeting Date: MAR 15, 2017 Meeting Type: Special
 Record Date: MAR 09, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Expand in Business Scope and Amend Article of Association | For | For | Management |

MIDEA GROUP CO., LTD.

Ticker: 000333 Security ID: Y6S40V103
 Meeting Date: APR 21, 2017 Meeting Type: Annual
 Record Date: APR 14, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Annual Report and Summary | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Phase 4 Stock Option Incentive Plan and Its Summary | For | For | Management |
| 7 | Approve Methods to Assess the Performance of Plan Participants In Connection to Phase 4 Stock Option Incentive Plan | For | For | Management |
| 8 | Approve Authorization of the Board to Handle All Matters Related to Phase 4 Stock Option Incentive Plan | For | For | Management |
| 9 | Approve 2017 Draft and Summary of Restricted Stock Incentive Plan | For | For | Management |
| 10 | Approve Methods to Assess the Performance of Plan Participants In Connection to Restricted Stock Incentive Plan | For | For | Management |
| 11 | Approve Authorization of the Board to Handle All Matters Related to Restricted Stock Incentive Plan | For | For | Management |

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| | | | | |
|-------|--|-----|-----|------------|
| 12.01 | Approve Guarantee Provision for Midea Group Finance Co., Ltd | For | For | Management |
| 12.02 | Approve Guarantee Provision for Guangdong Midea Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.03 | Approve Guarantee Provision for Guangzhou Hualing Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.04 | Approve Guarantee Provision for Foshan Midea Kaili Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.05 | Approve Guarantee Provision for Guangdong Midea Kitchen Appliances Manufacturing Co., Ltd | For | For | Management |
| 12.06 | Approve Guarantee Provision for Guangdong Weite Vacuum Electronics Manufacturing Co., Ltd | For | For | Management |
| 12.07 | Approve Guarantee Provision for Foshan Shuide Midea Washing Machine Manufacturing Co., Midea | For | For | Management |
| 12.08 | Approve Guarantee Provision for Guangdong Midea Warm Equipment Co., Ltd | For | For | Management |
| 12.09 | Approve Guarantee Provision for Guangdong Midea Commercial Air Conditioning Equipment Co., Ltd | For | For | Management |
| 12.10 | Approve Guarantee Provision for Guangdong Midea Xikesi Electronic Co., Ltd | For | For | Management |
| 12.11 | Approve Guarantee Provision for Guangdong Midea Daily Appliances Manufacturing Co., Ltd | For | For | Management |
| 12.12 | Approve Guarantee Provision for Foshan Shunde Midea Electrical Heating Appliances Manufacturing Co., Ltd | For | For | Management |
| 12.13 | Approve Guarantee Provision for Guangdong Midea Kitchen & Toilet Appliances Manufacturing Co., Ltd | For | For | Management |
| 12.14 | Approve Guarantee Provision for Foshan Shunde Media Drinking Machine Manufacturing Co., Ltd | For | For | Management |
| 12.15 | Approve Guarantee Provision for Foshan Midea Qinghu Water Purifier Equipment Co., Ltd | For | For | Management |
| 12.16 | Approve Guarantee Provision for Guangdong Midea Environmental Appliance Manufacturing Co., Ltd | For | For | Management |
| 12.17 | Approve Guarantee Provision for Guangdong Meizhi Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.18 | Approve Guarantee Provision for Guangdong Meizhi Precision Manufacturing Co., Ltd | For | For | Management |
| 12.19 | Approve Guarantee Provision for Guangdong Welling Electric Manufacturing Co., Ltd | For | For | Management |
| 12.20 | Approve Guarantee Provision for Foshan Welling Washing Appliance Manufacturing Co., Ltd | For | For | Management |
| 12.21 | Approve Guarantee Provision for Ningbo Midea Union Resource Supply Co., Ltd | For | For | Management |
| 12.22 | Approve Guarantee Provision for Foshan Welling Material Supply Co., Ltd | For | For | Management |

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| | | | | |
|-------|---|-----|-----|------------|
| 12.23 | Approve Guarantee Provision for Guangzhou Kaizhao Commercial Trade Co., Ltd | For | For | Management |
| 12.24 | Approve Guarantee Provision for Guangdong Midea Group Wuhu Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.25 | Approve Guarantee Provision for Wuhu Meizhi Air Conditioning Equipment Co., Ltd | For | For | Management |
| 12.26 | Approve Guarantee Provision for Hefei Midea Refrigerator Co., Ltd | For | For | Management |
| 12.27 | Approve Guarantee Provision for Hubei Midea Refrigerator Co., Ltd | For | For | Management |
| 12.28 | Approve Guarantee Provision for Hefei Hualing Co., Ltd | For | For | Management |
| 12.29 | Approve Guarantee Provision for Guangzhou Midea Hualing Refrigerator Co., Ltd | For | For | Management |
| 12.30 | Approve Guarantee Provision for Hefei Midea Warm Equipment Co., Ltd | For | For | Management |
| 12.31 | Approve Guarantee Provision for Hefei Meilianbo Air Conditioning Equipment Co., Ltd | For | For | Management |
| 12.32 | Approve Guarantee Provision for Wuhu Midea Kitchen & Toilet Appliances Manufacturing Co., Ltd | For | For | Management |
| 12.33 | Approve Guarantee Provision for Wuhu Midea Kitchen Appliance Manufacturing Co., Ltd | For | For | Management |
| 12.34 | Approve Guarantee Provision for Anhui Meizhi Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.35 | Approve Guarantee Provision for Anhui Meizhi Precision Manufacturing Co., Ltd | For | For | Management |
| 12.36 | Approve Guarantee Provision for Welling Wuhu Electric Motor Manufacturing Co., Ltd | For | For | Management |
| 12.37 | Approve Guarantee Provision for Ande Zhilian Technology Co., Ltd | For | For | Management |
| 12.38 | Approve Guarantee Provision for Hefei Midea Washing Machine Co., Ltd | For | For | Management |
| 12.39 | Approve Guarantee Provision for Jiangsu Midea Cleaning Appliances Co., Ltd | For | For | Management |
| 12.40 | Approve Guarantee Provision for Jiangxi Midea Guiya Lighting Co., Ltd | For | For | Management |
| 12.41 | Approve Guarantee Provision for Chongqing Midea General Refrigeration Equipment Co., Ltd | For | For | Management |
| 12.42 | Approve Guarantee Provision for Zhejiang Meizhi Compressor Co., Ltd | For | For | Management |
| 12.43 | Approve Guarantee Provision for Midea International Holding Co., Ltd | For | For | Management |
| 12.44 | Approve Guarantee Provision for Midea International Trade Co., Ltd | For | For | Management |
| 12.45 | Approve Guarantee Provision for Midea BVI Ltd | For | For | Management |
| 12.46 | Approve Guarantee Provision for Midea Refrigeration Hong Kong Ltd | For | For | Management |
| 12.47 | Approve Guarantee Provision for Kapu Macau Overseas Business Services Ltd | For | For | Management |
| 12.48 | Approve Guarantee Provision for Welling International Hong Kong Ltd | For | For | Management |
| 12.49 | Approve Guarantee Provision for Midea | For | For | Management |

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| | | | | |
|-------|---|------|-----|-------------|
| | Investment Development Co., Ltd | | | |
| 12.50 | Approve Guarantee Provision for Main Power Electrical Factory Ltd | For | For | Management |
| 12.51 | Approve Guarantee Provision for Midea Appliances Singapore Trade Pte., Ltd | For | For | Management |
| 12.52 | Approve Guarantee Provision for Toshiba Daily Appliance Co., Ltd | For | For | Management |
| 12.53 | Approve Guarantee Provision for Midea Daily Appliances Vietnam Co., Ltd | For | For | Management |
| 12.54 | Approve Guarantee Provision for Springer Carrier Ltda. and Climazon Industrial Ltda. | For | For | Management |
| 12.55 | Approve Guarantee Provision for Carrier (Chile) S.A | For | For | Management |
| 12.56 | Approve Guarantee Provision for Midea Electrics Netherlands B.V. | For | For | Management |
| 12.57 | Approve Guarantee Provision for MIDEA AMERICA CORP. | For | For | Management |
| 12.58 | Approve Guarantee Provision for PT.MIDEA Planet Indonesia | For | For | Management |
| 12.59 | Approve Guarantee Provision for Midea Scott & English Electronics SDN BHD | For | For | Management |
| 12.60 | Approve Guarantee Provision for MIDEA AMERICA (CANANDA) CORP | For | For | Management |
| 12.61 | Approve Guarantee Provision for MIDEA MEXICO, S. DE R.L. DE C.V | For | For | Management |
| 12.62 | Approve Guarantee Provision for Orient Household Appliances Ltd. | For | For | Management |
| 12.63 | Approve Guarantee Provision for Midea Electric Netherlands (I) B.V. | For | For | Management |
| 12.64 | Approve Guarantee Provision for Midea India Private Limited | For | For | Management |
| 12.65 | Approve Guarantee Provision for Midea Electric Trading (Thailand) Limited | For | For | Management |
| 12.66 | Approve Guarantee Provision for Misr Refrigeration and Air Conditioning Manufacturing Co. | For | For | Management |
| 12.67 | Approve Guarantee Provision for Concepcion Midea INC | For | For | Management |
| 13 | Approve 2017 Forward Foreign Exchange Transactions Investment Special Report | For | For | Management |
| 14 | Approve 2017 Use of Own Funds to Conduct Entrusted Asset Management | For | For | Management |
| 15 | Amend Articles of Association | For | For | Management |
| 16 | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | For | Management |
| 17 | Approve Appointment of Auditor | For | For | Management |
| 18 | Amend Articles of Association Due to Business Need | None | For | Shareholder |
| 19.01 | Elect Yin Bitong as Non-Independent Director | For | For | Management |
| 19.02 | Elect Zhu Fengtao as Non-Independent Director | For | For | Management |

NARI TECHNOLOGY DEVELOPMENT LTD CO

Ticker: 600406
 Meeting Date: DEC 13, 2016
 Record Date: DEC 06, 2016

Security ID: Y6S99Q112
 Meeting Type: Special

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Change of Auditor | For | For | Management |
| 2 | Approve Change of Business Scope and Amend Articles of Association | For | For | Management |
| 3 | Approve Increase Amount in 2016 Daily Related-party Transactions | For | For | Management |

NARI TECHNOLOGY DEVELOPMENT LTD CO

| | |
|----------------------------|------------------------|
| Ticker: 600406 | Security ID: Y6S99Q112 |
| Meeting Date: JAN 19, 2017 | Meeting Type: Special |
| Record Date: JAN 12, 2017 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Change in Registered Address and Amend Articles of Association | For | For | Management |

NARI TECHNOLOGY DEVELOPMENT LTD CO

| | |
|----------------------------|------------------------|
| Ticker: 600406 | Security ID: Y6S99Q112 |
| Meeting Date: MAY 23, 2017 | Meeting Type: Annual |
| Record Date: MAY 16, 2017 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2016 Financial Statements | For | For | Management |
| 2 | Approve 2016 Profit Distribution | For | For | Management |
| 3 | Approve 2016 Report of the Independent Directors | For | For | Management |
| 4 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 5 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 6 | Approve 2016 Annual Report and Summary | For | For | Management |
| 7 | Approve 2017 Financial Budget Report | For | For | Management |
| 8 | Approve Daily Related-party Transactions and Financial Service Agreement with Related-party | For | Against | Management |
| 9 | Approve Appointment of 2017 Financial Auditor and Internal Control Auditor | For | For | Management |

NARI TECHNOLOGY DEVELOPMENT LTD CO

| | |
|----------------------------|------------------------|
| Ticker: 600406 | Security ID: Y6S99Q112 |
| Meeting Date: MAR 27, 2017 | Meeting Type: Special |
| Record Date: MAR 20, 2017 | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Continued Suspension of Trading in Connection to Major Asset Restructuring | For | For | Management |

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NAVINFO CO., LTD.

Ticker: 002405 Security ID: Y62121101
 Meeting Date: MAY 18, 2017 Meeting Type: Annual
 Record Date: MAY 15, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Annual Report and Summary | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Appointment of Auditor | For | For | Management |
| 7.1 | Elect Wu Jinfeng as Director | For | For | Management |
| 7.2 | Elect Sun Yuguo as Director | For | For | Management |
| 7.3 | Elect Cheng Peng as Director | For | For | Management |
| 7.4 | Elect Zheng Yongjin as Director | For | For | Management |
| 7.5 | Elect Zhong Xiangping as Director | For | For | Management |
| 7.6 | Elect Zhan Weibiao as Director | For | For | Management |
| 8.1 | Elect Ren Guangming as Director | For | For | Management |
| 8.2 | Elect Li Xiang as Director | For | For | Management |
| 8.3 | Elect Wu Aijin as Director | For | For | Management |
| 9.1 | Elect Xu Jinhui as Supervisor | For | For | Management |
| 9.2 | Elect Liu Zheng as Supervisor | For | For | Management |
| 10 | Approve Adjustment to the Allowance of Independent Directors | For | For | Management |
| 11 | Approve Change in Registered Capital and Amend Articles of Association | For | For | Management |
| 12 | Approve 2017 Daily Related Party Transactions | For | For | Management |
| 13 | Approve Provision of Guarantee to Wholly Owned Subsidiary | For | For | Management |
| 14 | Approve Application of Loan by Wholly Owned Subsidiary | For | For | Management |
| 15 | Approve Participation in the Investment to Establish M & A Funds | For | Abstain | Management |
| 16 | Approve Shouldering of Difference Make-up Responsibility in Connection to Establish M & A Funds | For | Against | Management |
| 17 | Approve Additional Investment in the Construction of Production Base and Office Building Using Portion of Raised Funds and Interest | For | For | Management |

POLY REAL ESTATE GROUP CO., LTD.

Ticker: 600048 Security ID: Y6987R107
 Meeting Date: AUG 12, 2016 Meeting Type: Special
 Record Date: AUG 03, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.01 | Approve Criteria to Select Plan Participants | For | Against | Management |
| 1.02 | Approve Source, Number and Type of Underlying Stocks | For | Against | Management |

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| | | | | |
|------|--|-----|---------|------------|
| 1.03 | Approve Granting of Underlying Stocks | For | Against | Management |
| 1.04 | Approve Duration, Grant Date, Exercise Date and Lock-up Period | For | Against | Management |
| 1.05 | Approve Exercise Price and Price-setting Basis | For | Against | Management |
| 1.06 | Approve Conditions for Granting and Exercising | For | Against | Management |
| 1.07 | Approve Method and Procedure to Adjust the Incentive Plan | For | Against | Management |
| 1.08 | Approve Accounting Treatment and Effect to Company's Performance | For | Against | Management |
| 1.09 | Approve Procedures for Granting and Exercising | For | Against | Management |
| 1.10 | Approve Rights and Obligations of the Plan Participants and the Company | For | Against | Management |
| 1.11 | Approve Conditions to Change or Terminate the Incentive Plan & Other Matters | For | Against | Management |
| 2 | Approve Authorization of the Board to Handle All Related Matters | For | Against | Management |
| 3 | Approve Appraisal Measures of the Stock Option Incentive Plan | For | Against | Management |
| 4 | Approve Management Method for the Stock Option Incentive Plan | For | Against | Management |

POLY REAL ESTATE GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600048 | Security ID: | Y6987R107 |
| Meeting Date: | MAY 11, 2017 | Meeting Type: | Annual |
| Record Date: | MAY 02, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2017 Investment Plan | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Profit Distribution and Capitalization of Capital Reserves | For | For | Management |
| 6 | Approve Annual Report and Summary | For | For | Management |
| 7 | Approve 2017 External Guarantee Provision | For | Abstain | Management |
| 8 | Approve Appointment of Auditor | For | For | Management |
| 9 | Approve Application of Loan from China Poly Group Co. and Guarantees | For | For | Management |
| 10 | Approve Related Party Transactions with Poly Finance Co., Ltd. | For | Against | Management |
| 11 | Approve Related-party Transactions Between Company and Joint Ventures | For | Abstain | Management |

POLY REAL ESTATE GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600048 | Security ID: | Y6987R107 |
| Meeting Date: | MAR 16, 2017 | Meeting Type: | Special |
| Record Date: | MAR 07, 2017 | | |

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Issuance of Debt Financing Instruments | For | Against | Management |
| 2 | Approve Amendments to Articles of Association | For | Against | Management |

POLY REAL ESTATE GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600048 | Security ID: | Y6987R107 |
| Meeting Date: | DEC 29, 2016 | Meeting Type: | Special |
| Record Date: | DEC 20, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Xing Yi as Non-Independent Director | None | For | Shareholder |
| 2 | Amend Rules and Procedures Regarding Meetings of Board of Directors | For | For | Management |
| 3 | Approve Shareholder Return Plan in the Next Three Years | For | For | Management |

QINGDAO HAIER CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600690 | Security ID: | Y7166P102 |
| Meeting Date: | JUN 28, 2017 | Meeting Type: | Annual |
| Record Date: | JUN 20, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Financial Statements | For | For | Management |
| 2 | Approve Annual Report and Summary | For | For | Management |
| 3 | Approve Report of the Board of Directors | For | For | Management |
| 4 | Approve Internal Control Self-Evaluation Report | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve Appointment of Auditor | For | For | Management |
| 7 | Approve 2017 Daily Related Party Transactions | For | For | Management |
| 8 | Approve 2017 Provision of Guarantee to Subsidiary | For | For | Management |
| 9 | Approve Formulation of Foreign Exchange Fund Derivatives Business | For | For | Management |
| 10 | Approve Amendments to Articles of Association | For | For | Management |
| 11 | Amend Management System of Providing External Guarantees | For | For | Management |
| 12 | Amend Investment Management System | For | For | Management |
| 13 | Amend Working System for Independent Directors | For | For | Management |
| 14 | Approve Formulation of Management System for Foreign Exchange Derivative Transactions | For | For | Management |
| 15 | Approve Report of the Board of Supervisors | For | For | Management |

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SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102
 Meeting Date: MAY 25, 2017 Meeting Type: Annual
 Record Date: MAY 18, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Report of the Independent Directors | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Financial Statements | For | For | Management |
| 6 | Approve Annual Report and Summary | For | For | Management |
| 7 | Approve Use of Remaining Raised Funds from 2010 Private Placement to Replenish Working Capital | For | For | Management |
| 8 | Approve Guarantee Provision for Shanghai GM Auto Financing Co., Ltd | For | Abstain | Management |
| 9 | Approve Guarantee Provision by Global Chexiang Auto Leasing Co., Ltd to Its Subsidiary | For | For | Management |
| 10 | Approve Guarantee Provision by Huayu Auto System Co., Ltd. to Huayu Ke'erben Shimite Aluminium Technology Co., Ltd | For | Abstain | Management |
| 11 | Approve Guarantee Provision by Huayu Auto System Co., Ltd. to Its Controlled Subsidiaries | For | Abstain | Management |
| 12 | Approve Appointment of Financial Auditor | For | For | Management |
| 13 | Approve Appointment of Internal Control Auditor | For | For | Management |

SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102
 Meeting Date: DEC 01, 2016 Meeting Type: Special
 Record Date: NOV 24, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Extension of Resolution Validity Period on Private Placement | For | For | Management |
| 2 | Approve Extension on Authorization of the Board on Private Placement | For | For | Management |

SHANGHAI JAHWA UNITED CO., LTD.

Ticker: 600315 Security ID: Y7685E109
 Meeting Date: JUN 28, 2017 Meeting Type: Special
 Record Date: JUN 20, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|---|---|-----|---------|------------|
| 1 | Approve Acquisition Plan | For | For | Management |
| 2 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |
| 3 | Approve Provision of Internal Deposit for the External Loan of Subsidiary | For | For | Management |
| 4 | Approve Increase in Financial Investment | For | Abstain | Management |
| 5 | Approve Amendments to Articles of Association | For | For | Management |

SHANGHAI JAHWA UNITED CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600315 | Security ID: | Y7685E109 |
| Meeting Date: | APR 27, 2017 | Meeting Type: | Annual |
| Record Date: | APR 19, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Annual Report | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Annual Profit Distribution Plan | For | For | Management |
| 6 | Approve Repurchase and Cancellation on Portion of the Restricted Shares in Connection to 2015 Stock Option Incentive Plan | For | For | Management |
| 7 | Approve 2017 Financial Budget Report | For | Abstain | Management |
| 8 | Approve Appointment of 2017 Financial and Internal Control Auditor | For | For | Management |
| 9 | Approve 2017 Daily Related Party Transactions with China Ping An Insurance (Group) Co., Ltd. and Its Subsidiaries | For | Abstain | Management |
| 10 | Approve Purchase of Liability Insurance for Directors, Supervisors and Senior Executives | For | For | Management |

SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 601607 | Security ID: | Y7685S108 |
| Meeting Date: | JUN 23, 2017 | Meeting Type: | Annual |
| Record Date: | JUN 14, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Annual Report | For | For | Management |
| 4 | Approve 2016 Final Accounts Report | For | For | Management |
| 5 | Approve 2017 Financial Budget | For | For | Management |
| 6 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 7 | Approve Proposal Regarding Payment of Auditor's Fees for 2016 | For | For | Management |

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| | | | | |
|----|--|-----|---------|------------|
| 8 | Approve Auditors | For | For | Management |
| 9 | Approve Proposal Regarding External Guarantees for 2017 | For | For | Management |
| 10 | Approve Amendments to the Commitment Regarding the Shares Held by Employees and the Employee Share Ownership Committee | For | For | Management |
| 11 | Approve Issuance of Debt Financing Products | For | For | Management |
| 12 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |

SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 601607 | Security ID: | Y7685S116 |
| Meeting Date: | JUN 23, 2017 | Meeting Type: | Annual |
| Record Date: | JUN 14, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Annual Report | For | For | Management |
| 4 | Approve 2016 Final Accounts Report | For | For | Management |
| 5 | Approve 2017 Financial Budget | For | For | Management |
| 6 | Approve 2016 Profit Distribution Plan | For | For | Management |
| 7 | Approve Proposal Regarding Payment of Auditor's Fees for 2016 | For | For | Management |
| 8 | Approve Auditors | For | For | Management |
| 9 | Approve Proposal Regarding External Guarantees for 2017 | For | For | Management |
| 10 | Approve Amendments to the Commitment Regarding the Shares Held by Employees and the Employee Share Ownership Committee | For | For | Management |
| 11 | Approve Issuance of Debt Financing Products | For | For | Management |
| 12 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |

SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 601607 | Security ID: | Y7685S116 |
| Meeting Date: | OCT 20, 2016 | Meeting Type: | Special |
| Record Date: | OCT 11, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------------------|---------|-----------|------------|
| 1 | Elect Zhou Jun as Director | For | For | Management |
| 2 | Amend Articles of Association | For | For | Management |

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SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103
 Meeting Date: JUN 29, 2017 Meeting Type: Annual
 Record Date: JUN 22, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve Daily Related-party Transactions and Approve 2017 Daily Related-party Transactions | For | For | Management |

SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103
 Meeting Date: NOV 09, 2016 Meeting Type: Special
 Record Date: NOV 03, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Luo Yude as Non-independent Director | For | For | Management |
| 1.2 | Elect Chen Jinzu as Non-Independent Director | None | For | Shareholder |
| 2 | Amend Articles of Association | None | For | Shareholder |

SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103
 Meeting Date: MAR 02, 2017 Meeting Type: Special
 Record Date: FEB 23, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Termination of Management Lease Agreement on AB Terminal Commercial Transformation Project | For | For | Management |

SHENZHEN OVERSEAS CHINESE TOWN HOLDING CO., LTD

Ticker: 000069 Security ID: Y7742V102
 Meeting Date: MAY 03, 2017 Meeting Type: Annual
 Record Date: APR 27, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Annual Report and Summary | For | For | Management |
| 2 | Approve Profit Distribution and Capitalization of Capital Reserves | For | For | Management |
| 3 | Approve Report of the Board of | For | For | Management |

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| | | | | |
|----|---|-----|---------|------------|
| | Directors | | | |
| 4 | Approve Report of the Board of Supervisors | For | For | Management |
| 5 | Approve Report of the Independent Directors | For | For | Management |
| 6 | Approve 2017-2018 Comprehensive Credit Line Applied by Company from Bank and Financial Institutions | For | Against | Management |
| 7 | Approve 2017-2018 Application of Entrust Loan from Huaqiao City Group Co., Ltd. | For | For | Management |
| 8 | Approve 2017-2018 Guarantee Provision for Associated Company by Company and Controlled Subsidiary | For | For | Management |
| 9 | Approve 2017-2018 Financial Assistance Provision for Associated Company | For | For | Management |
| 10 | Approve 2017 Daily Related Party Transactions | For | For | Management |
| 11 | Approve Allowance for Independent Director | For | For | Management |
| 12 | Approve Repurchase of Shares from Resigned Employees | For | For | Management |
| 13 | Amend Articles of Association | For | Against | Management |
| 14 | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | For | Management |
| 15 | Approve Disposal Agreement | For | For | Management |

SHENZHEN OVERSEAS CHINESE TOWN HOLDING CO., LTD

Ticker: 000069 Security ID: Y7742V102
 Meeting Date: NOV 15, 2016 Meeting Type: Special
 Record Date: NOV 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-------|---|---------|-----------|-------------|
| 1.01 | Elect Duan Xiannian as Non-independent Director | For | For | Management |
| 1.02 | Elect Wang Xiaowen as Non-independent Director | For | For | Management |
| 1.03 | Elect Wang Jiuling as Non-independent Director | For | For | Management |
| 1.2.1 | Elect Xu Gang as Independent Director | For | For | Management |
| 1.2.2 | Elect Yu Hailong as Independent Director | For | For | Management |
| 1.2.3 | Elect Wu Andi as Independent Director | For | For | Management |
| 1.2.4 | Elect Zhou Jichang as Independent Director | For | For | Management |
| 2.01 | Elect Zong Jian as Supervisor | None | For | Shareholder |
| 2.02 | Elect Ye Xiangyang as Supervisor | None | For | Shareholder |
| 3 | Approve Amendments to Articles of Association | For | For | Management |

TSINGTAO BREWERY CO., LTD.

Ticker: 168 Security ID: Y8997D110
 Meeting Date: JUN 30, 2017 Meeting Type: Annual
 Record Date: MAY 26, 2017

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve 2016 Work Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Financial Report (Audited) | For | For | Management |
| 4 | Approve 2016 Profit and Dividend Distribution Plan | For | For | Management |
| 5 | Approve PricewaterhouseCoopers Zhong Tian LLP as Auditor and Authorize Board to Fix Their Remuneration | For | For | Management |
| 6 | Approve PricewaterhouseCoopers Zhong Tian LLP as Internal Control Auditor and Authorize Board to Fix Their Remuneration | For | For | Management |

TSUI WAH HOLDINGS LIMITED

Ticker: 1314 Security ID: G91150105
 Meeting Date: AUG 26, 2016 Meeting Type: Annual
 Record Date: AUG 19, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Final Dividend | For | For | Management |
| 3 | Approve Special Dividend | For | For | Management |
| 4a1 | Elect Cheung Yue Pui as Director | For | For | Management |
| 4a2 | Elect Ho Ting Chi as Director | For | For | Management |
| 4a3 | Elect Wong Chi Kin as Director | For | For | Management |
| 4b | Authorize Board to Fix Remuneration of Directors | For | For | Management |
| 5 | Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 6 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Management |
| 7 | Authorize Repurchase of Issued Share Capital | For | For | Management |
| 8 | Authorize Reissuance of Repurchased Shares | For | Against | Management |

WANGFUJING GROUP CO ., LTD.

Ticker: 600859 Security ID: Y0770F107
 Meeting Date: DEC 23, 2016 Meeting Type: Special
 Record Date: NOV 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1 | Approve Company's Eligibility for Major Assets Restructuring | For | For | Management |
| 2.01 | Approve Plan on Major Asset Purchase | For | For | Management |
| 2.02 | Approve Transaction Parties | For | For | Management |
| 2.03 | Approve Target Asset | For | For | Management |

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| | | | | |
|-------|---|-----|-----|------------|
| 2.04 | Approve Transaction Price and Pricing Basis | For | For | Management |
| 2.05 | Approve Transaction Price Payment Arrangement | For | For | Management |
| 2.06 | Approve Performance Commitment and Compensation Manner | For | For | Management |
| 2.07 | Approve Delivery of Underlying Assets | For | For | Management |
| 2.08 | Approve Attribution of Profit and Loss During the Transition Period | For | For | Management |
| 2.09 | Approve Liability for Breach of Contract | For | For | Management |
| 2.10 | Approve Claims and Liabilities Arrangement as well as Staffing Arrangements | For | For | Management |
| 2.11 | Approve Resolution Validity Period | For | For | Management |
| 3 | Approve the Notion That This Transaction Constitute as Related-Party Transaction | For | For | Management |
| 4 | Approve the Notion that the Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies | For | For | Management |
| 5 | Approve Transaction Complies with Article 11 of Measures for the Administration of Significant Assets Reorganization of Listed Companies | For | For | Management |
| 6 | Approve Draft and Summary Report of Major Asset Purchase and Related Party Transaction | For | For | Management |
| 7 | Approve Signing of Conditional Asset Purchase Agreement | For | For | Management |
| 8 | Approve Signing of Conditional Profit Compensation Agreement | For | For | Management |
| 9 | Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction | For | For | Management |
| 10 | Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing | For | For | Management |
| 11 | Approve the Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction | For | For | Management |
| 12 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |
| 13 | Approve Impact of Dilution of Current Returns and the Relevant Measures to be Taken | For | For | Management |
| 14 | Approve Amendments to Articles of Association | For | For | Management |
| 15.01 | Elect Liu Yi as Non-independent Director | For | For | Management |
| 15.02 | Elect Dong Jiasheng as Non-independent Director | For | For | Management |
| 15.03 | Elect Du Baoxiang as Non-independent Director | For | For | Management |
| 15.04 | Elect Yu Zhongfu as Non-independent Director | For | For | Management |
| 15.05 | Elect Zhang Xuegang as Non-independent Director | For | For | Management |

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| | | | | |
|-------|---|-----|-----|------------|
| 15.06 | Elect Geng Jiaqi as Non-independent Director | For | For | Management |
| 15.07 | Elect Zou Yan as Non-independent Director | For | For | Management |
| 16.01 | Elect Long Tao as Independent Director | For | For | Management |
| 16.02 | Elect Dong Ansheng as Independent Director | For | For | Management |
| 16.03 | Elect Du Jiabin as Independent Director | For | For | Management |
| 16.04 | Elect Quan Zhongguang as Independent Director | For | For | Management |
| 17.01 | Elect Wang Bin as Supervisor | For | For | Management |
| 17.02 | Elect Ding Yali as Supervisor | For | For | Management |

WANGFUJING GROUP CO ., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600859 | Security ID: | Y0775J104 |
| Meeting Date: | APR 07, 2017 | Meeting Type: | Annual |
| Record Date: | MAR 29, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Report of the Board of Supervisors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution and Cash Dividends Plan | For | For | Management |
| 5 | Approve Annual Report and Summary | For | For | Management |
| 6 | Approve Appointment of 2017 Auditor | For | For | Management |
| 7 | Approve Issuance of Ultra Short-term Financial Bill and Medium-term Notes | For | For | Management |
| 8 | Approve Authorization of the Board to Handle All Matters Related to Issuance of Ultra Short-term Financial Bill and Medium-term Notes | For | For | Management |
| 9 | Approve Company's Eligibility for Corporate Bond Issuance | For | For | Management |
| 10 | Approve Corporate Bond Issuance | For | For | Management |
| 11 | Approve Authorization of the Board to Handle All Related Matters | For | For | Management |
| 12 | Approve Acquisition Plan | For | For | Management |

WANGFUJING GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 600859 | Security ID: | Y0775J104 |
| Meeting Date: | MAR 10, 2017 | Meeting Type: | Special |
| Record Date: | MAR 03, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Continued Suspension of Trading in Connection to Major Asset Restructuring | For | For | Management |

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XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
 Meeting Date: NOV 14, 2016 Meeting Type: Special
 Record Date: NOV 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---------------------------|---------|-----------|------------|
| 1 | Approve Change of Auditor | For | For | Management |

XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
 Meeting Date: AUG 30, 2016 Meeting Type: Special
 Record Date: AUG 23, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Amendment on Business Scope and Amend Articles of Association | For | For | Management |

XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
 Meeting Date: MAY 23, 2017 Meeting Type: Annual
 Record Date: MAY 16, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve Annual Report and Summary | For | For | Management |
| 2 | Approve Report of the Board of Directors | For | For | Management |
| 3 | Approve Financial Statements | For | For | Management |
| 4 | Approve Profit Distribution and Capitalization of Capital Reserves | For | For | Management |
| 5 | Approve Appointment of Auditor | For | For | Management |
| 6 | Approve 2017 Daily Related-party Transactions | For | For | Management |
| 7 | Approve 2016 Daily Related-party Transactions Beyond Estimates | For | For | Management |
| 8 | Approve Signing of Overall Daily Related-party Transaction Agreement | For | For | Management |
| 9 | Approve Signing of Financial Services Agreement | For | Abstain | Management |
| 10 | Approve Report of the Board of Supervisors | For | For | Management |

YUNNAN BAIYAO GROUP CO., LTD.

Ticker: 000538 Security ID: Y9879F108
 Meeting Date: OCT 18, 2016 Meeting Type: Special
 Record Date: OCT 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Continued Suspension of Trading | For | For | Management |

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YUNNAN BAIYAO GROUP CO., LTD.

Ticker: 000538 Security ID: Y9879F108
Meeting Date: MAY 26, 2017 Meeting Type: Annual
Record Date: MAY 19, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1 | Approve 2016 Report of the Board of Directors | For | For | Management |
| 2 | Approve 2016 Report of the Board of Supervisors | For | For | Management |
| 3 | Approve 2016 Financial Statements | For | For | Management |
| 4 | Approve 2016 Annual Report and Summary | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve 2016 Financial Budget Report | For | For | Management |
| 7 | Approve Appointment of Financial Auditor and Internal Control Auditor | For | For | Management |
| 8.01 | Elect Wang Jianhua as Non-Independent Director | None | For | Shareholder |
| 8.02 | Elect Qiu Xiaohua as Non-Independent Director | None | For | Shareholder |
| 8.03 | Elect Song Chengli as Non-Independent Director | None | For | Shareholder |
| 9.01 | Elect Zhong Jie as Supervisor | None | For | Shareholder |
| 9.02 | Elect You Guanghui as Supervisor | None | For | Shareholder |

ZHEJIANG HUACE FILM & TV CO., LTD.

Ticker: 300133 Security ID: Y988B8109
Meeting Date: APR 17, 2017 Meeting Type: Special
Record Date: APR 12, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.01 | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | For | Management |
| 1.02 | Amend Management System of Raised Funds | For | For | Management |
| 2 | Amend Rules and Procedures Regarding Meetings of Board of Supervisors | For | For | Management |
| 3 | Approve 2017 Application of Bank Comprehensive Credit Line | For | For | Management |

ZHEJIANG HUACE FILM & TV CO., LTD.

Ticker: 300133 Security ID: Y988B8109
Meeting Date: JUN 01, 2017 Meeting Type: Special
Record Date: MAY 25, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.01 | Approve Criteria to Select Plan Participants | For | For | Management |
| 1.02 | Approve Source, Number and Allocation of Underlying Stocks | For | For | Management |
| 1.03 | Approve Duration, Grant Date, Unlocking | For | For | Management |

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| Period and Lock-up Period of Underlying Stocks | | | | |
|--|---|-----|-----|------------|
| 1.04 | Approve Exercise/Granting Price and Price-setting Basis | For | For | Management |
| 1.05 | Approve Conditions for Granting and Unlocking | For | For | Management |
| 1.06 | Approve Methods and Procedures to Adjust the Incentive Plan | For | For | Management |
| 1.07 | Approve Accounting Treatment | For | For | Management |
| 1.08 | Approve Implementation Procedures | For | For | Management |
| 1.09 | Approve Rights and Obligations of the Plan Participants and the Company | For | For | Management |
| 1.10 | Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects | For | For | Management |
| 2 | Approve Management Method of Second Phase Stock Incentive Plan | For | For | Management |
| 3 | Approve Authorization of Board to Handle All Related Matters | For | For | Management |

ZHEJIANG HUACE FILM & TV CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 300133 | Security ID: | Y988B8109 |
| Meeting Date: | MAY 16, 2017 | Meeting Type: | Annual |
| Record Date: | MAY 10, 2017 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Annual Report and Summary | For | For | Management |
| 2 | Approve Report of the Board of Directors | For | For | Management |
| 3 | Approve Report of the Board of Supervisors | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Auditor's Report | For | For | Management |
| 6 | Approve Shareholder Return Plan in the Next Three Years | For | For | Management |
| 7 | Approve Profit Distribution | For | For | Management |
| 8 | Approve Remuneration of Directors and Supervisors | For | For | Management |
| 9 | Approve Provision for Asset Impairment | For | For | Management |
| 10 | Approve Report on the Deposit and Usage of Raised Funds | For | For | Management |
| 11 | Approve 2017 Appointment of Auditor | For | For | Management |

ZHONGBAI HOLDINGS GROUP CO., LTD.

| | | | |
|---------------|--------------|---------------|-----------|
| Ticker: | 000759 | Security ID: | Y9715V101 |
| Meeting Date: | NOV 15, 2016 | Meeting Type: | Special |
| Record Date: | NOV 10, 2016 | | |

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Transfer of Equity | For | For | Management |
| 2 | Approve Increase in the Amount and Scope of Idle Own Funds to be Invested in Financial Products | For | For | Management |

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 ZHONGBAI HOLDINGS GROUP CO., LTD.

Ticker: 000759 Security ID: Y9715V101
 Meeting Date: MAY 19, 2017 Meeting Type: Annual
 Record Date: MAY 15, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Approve Report of the Board of Directors | For | For | Management |
| 2 | Approve Annual Report and Summary | For | For | Management |
| 3 | Approve Report of the Board of Supervisors | For | For | Management |
| 4 | Approve Financial Statements | For | For | Management |
| 5 | Approve Profit Distribution | For | For | Management |
| 6 | Approve 2017 Daily Related Party Transactions | For | For | Management |
| 7 | Approve Bank Credit Lines and Guarantee Letters | For | For | Management |
| 8 | Approve Provision of Guarantee to Controlled Subsidiaries | For | Abstain | Management |
| 9 | Approve Appointment of Auditor | For | For | Management |
| 10 | Approve Transfer of Equity of Subsidiary | For | For | Management |
| 11 | Approve Management Method for the Remuneration for Company's Operators | For | Abstain | Management |
| 12 | Approve Change in Commitment by Controlling Shareholder | For | For | Management |

 ZHONGBAI HOLDINGS GROUP CO., LTD.

Ticker: 000759 Security ID: Y9715V101
 Meeting Date: OCT 13, 2016 Meeting Type: Special
 Record Date: OCT 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Related Commitment for Industrial Fund Priority Limited Partner | For | For | Management |
| 2 | Approve Conduct of Asset Securitization | For | For | Management |

===== END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Morgan Stanley China A Share Fund, Inc.

By (Signature and Title)*

/s/ John H. Gernon

John H. Gernon

President and Principal Executive Officer

Date August 24, 2017

* Print the name and title of each signing officer under his or her signature.