#### ROBERTSON RUSSEL C

Form 4 May 03, 2019

### FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

(Zip)

1(b).

(Last)

(City)

Par Value

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ROBERTSON RUSSEL C

2. Issuer Name and Ticker or Trading Symbol

Bausch Health Companies Inc.

[BHC]

3. Date of Earliest Transaction

(Month/Day/Year) 05/03/2019

400 SOMERSET CORPORATE **BOULEVARD** 

(State)

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify

6. Individual or Joint/Group Filing(Check Applicable Line)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BRIDGEWATER, NJ 08807

|            |                     | 140                |                                   | , 011, 0001, 0, |           |       | arrea, Bisposea or | , 01 201101101111  | 25 0 11200   |  |  |  |  |  |
|------------|---------------------|--------------------|-----------------------------------|-----------------|-----------|-------|--------------------|--|--------------|--|--|--|--|--|
| 1.Title of | 2. Transaction Date |                    | 3.                                | 4. Securit      |           | •     | 5. Amount of       | 6.   | 7. Nature of |  |  |  |  |  |
| Security   | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D) |                 |           |       | Securities         | Ownership  | Indirect     |  |  |  |  |  |
| (Instr. 3) |                     | any                | Code                              | (Instr. 3, 4    | 4 and     | 5)    | Beneficially       | Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4) |              |  |  |  |  |  |
|            |                     | (Month/Day/Year)   | (Instr. 8)                        |                 |           |       | Owned              | (D) or   | Ownership    |  |  |  |  |  |
|            |                     |                    |                                   |                 |           |       | Following          | Indirect (I)   | (Instr. 4)   |  |  |  |  |  |
|            |                     |                    |                                   |                 | (4)       |       | Reported           | (Instr. 4)   |              |  |  |  |  |  |
|            |                     |                    |                                   |                 | (A)       |       | Transaction(s)     |  |              |  |  |  |  |  |
|            |                     |                    | Code V                            | Amount          | or<br>(D) | Price | (Instr. 3 and 4)   |  |              |  |  |  |  |  |
| Common     |                     |                    |                                   | 10,633          |           | \$    |                    |  |              |  |  |  |  |  |
| Stock, No  | 05/03/2019          |                    | A                                 | (1)             | A         | 23.51 | 89,528             | D  |              |  |  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and   | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|---------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D  | piration Date |          | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)         | Underl   | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e             |               | Securit  | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |               |               | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                   | Acquired   |               |               |          |          |             | Follo  |
|             | Ĭ           |                     |                    |                   | (A) or     |               |               |          |          |             | Repo   |
|             |             |                     |                    |                   | Disposed   |               |               |          |          |             | Trans  |
|             |             |                     |                    |                   | of (D)     |               |               |          |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |               |               |          |          |             | Ì      |
|             |             |                     |                    |                   | 4, and 5)  |               |               |          |          |             |        |
|             |             |                     |                    |                   |            |               |               |          |          |             |        |
|             |             |                     |                    |                   |            |               |               |          | Amount   |             |        |
|             |             |                     |                    |                   |            | Date          | Expiration    |          | or       |             |        |
|             |             |                     |                    |                   |            | Exercisable   | Date          |          | Number   |             |        |
|             |             |                     |                    |                   |            | 2.1010154010  | 2410          |          | of       |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |               |               |          | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROBERTSON RUSSEL C 400 SOMERSET CORPORATE BOULEVARD X BRIDGEWATER, NJ 08807

### **Signatures**

/s/ Kirsten O'Donnell, Attorney-in-fact for Russel C.
Robertson 05/03/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the award of restricted share units ("RSUs") granted to non-employee directors of Bausch Health Companies Inc.

(1) ("Bausch Health") on the third business day following their election at the Annual Meeting of Shareholders of Bausch Health (the "Annual Meeting"). The RSUs have a grant date value equal to \$250,000, vest immediately prior to Bausch Health's next Annual Meeting, and are settled in common shares, no par value, of Bausch Health.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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