#### **BOCKEL DAVID R**

Form 4 April 08, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BOCKEL DAVID R** 

2. Issuer Name and Ticker or Trading

Symbol

Issuer

FIDELITY SOUTHERN CORP

[LION]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

below)

10% Owner Other (specify

3490 PIEDMONT ROAD, SUITE

(Street)

(State)

(Zip)

1550

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

04/05/2019

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ATLANTA, GA 30305

(City)

						, <b>F</b> ,		
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	Acquired (A) o	r 5. Amount of	6.	7. Nature
Security	(Month/Day/Year)	Execution Date, if	Transaction	orDisposed of	(D)	Securities	Ownership	of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 ar	nd 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Direct (D)	Ownership
						Following	or Indirect	(Instr. 4)
					(4)	Reported	(I)	
					(A)	Transaction(s)	(Instr. 4)	
			C + V	A	or	(Instr. 3 and 4)		

**Fidelity** 

Southern Corporation 04/05/2019 244.1732

Amount

Code V

A

(D) Price

33,163.2281 D

- Common Stock

**Fidelity** Southern

Corporation - Common

297

I

By Spouse

Stock

#### Edgar Filing: BOCKEL DAVID R - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date UMonth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 17.87					01/21/2017(2)	01/21/2021	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 22.91					01/19/2018(3)	01/19/2022	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 23.75					01/18/2019(4)	01/18/2023	Fidelity Southern Corporation - Common Stock	10,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b> - m m	Director	10% Owner	Officer	Other		
BOCKEL DAVID R						
3490 PIEDMONT ROAD	X					
SUITE 1550	Λ					
ATLANTA, GA 30305						

Reporting Owners 2

## **Signatures**

Elna Kolarich, Attorney in Fact, David R.

804/08/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the 1st quarter.
- (2) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (3) Exercisable: 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020
- (4) Exercisable: 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3