Bueter Christopher Form 4 March 11, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **Bueter Christopher** Issuer Symbol FIRST SOLAR, INC. [FSLR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O FIRST SOLAR, INC., 350 03/07/2019 below) WEST WASHINGTON STREET, EVP, Human Resources SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TEMPE, AZ 85281-1244

| (City)                               | (State)                                 | Zip) Table                                                  | ble I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                                                     |                  |             |                                                                  |                                                                      |                                                       |  |
|--------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------|-------------|------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8)                                         | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |                                         |                                                             | Code V                                                                         | Amount                                                              | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |                                                                      |                                                       |  |
| Common<br>Stock                      | 03/07/2019                              |                                                             | M                                                                              | 4,980<br>(1)                                                        | A                | \$0         | 28,405                                                           | D                                                                    |                                                       |  |
| Common<br>Stock                      | 03/07/2019                              |                                                             | F                                                                              | 2,214<br>(2)                                                        | D                | \$<br>50.36 | 26,191                                                           | D                                                                    |                                                       |  |
| Common<br>Stock                      | 03/08/2019                              |                                                             | M                                                                              | 2,340<br>(3)                                                        | A                | \$ 0        | 28,531                                                           | D                                                                    |                                                       |  |
| Common<br>Stock                      | 03/08/2019                              |                                                             | F                                                                              | 1,041<br>(2)                                                        | D                | \$<br>51.16 | 27,490                                                           | D                                                                    |                                                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                        | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|----------------------------------------|---------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                 | Date<br>Exercisable                                      | Expiration<br>Date | Title                                                         | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | <u>(4)</u>                                                            | 03/07/2019                              |                                                             | M                                      | 4,980                                                                                   | (5)                                                      | (5)                | Common<br>Stock                                               | 4,980                                  | \$                              |
| Restricted<br>Stock<br>Units                        | <u>(4)</u>                                                            | 03/08/2019                              |                                                             | M                                      | 2,340                                                                                   | <u>(6)</u>                                               | <u>(6)</u>         | Common<br>Stock                                               | 2,340                                  | \$                              |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bueter Christopher C/O FIRST SOLAR, INC. 350 WEST WASHINGTON STREET, SUITE 600 TEMPE, AZ 85281-1244

EVP, Human Resources

# **Signatures**

/s/ Jason E. Dymbort, attorney-in-fact 03/11/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock issued upon vesting of 25% of the restricted stock units granted on March 7, 2017.
- (2) Represents shares of common stock withheld by the Issuer to satisfy certain tax withholding obligations with the vesting of the restricted stock units.

Reporting Owners 2

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- (3) Represents shares of common stock issued upon vesting of 25% of the restricted stock units granted on March 8, 2016.
- (4) Each restricted stock unit represents the right to receive, upon vesting, one share of the Issuer's common stock in accordance with the Issuer's 2015 Omnibus Incentive Compensation Plan.
- (5) The restricted stock units were granted on March 7, 2017 as part of the Issuer's annual equity grant to executive officers. These units are scheduled to vest annually at a rate of 25% on each anniversary of the grant date, commencing on the first anniversary of the grant date.
- (6) The restricted stock units were granted on March 8, 2016 as part of the Issuer's annual equity grant to executive officers. These units are scheduled to vest annually at a rate of 25% on each anniversary of the grant date, commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.