Davis-Blake Alison Form 4 December 11, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

Davis-Blake Alison

2. Issuer Name and Ticker or Trading Symbol

Tower International, Inc. [TOWR]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

(Month/Day/Year) Execution Date, if

3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable) X\_ Director 10% Owner

12/07/2018

Officer (give title Other (specify

below)

C/O TOWER INTERNATIONAL, INC., 17672 LAUREL PARK DR.

(Street)

NORTH, SUITE 400E

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Following

LIVONIA, MI 48152

Security

(Instr. 3)

(State) (Zip) (City) 1. Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned (I)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of Derivative (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date Conversion

5. Number 6. Date Exercisable and

7. Title and Amount of 8. Pr Underlying Securities

## Edgar Filing: Davis-Blake Alison - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day	Year)	(Instr. 3 and 4)		Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	12/07/2018		A	9.46	<u>(1)</u>	<u>(1)</u>	Common Stock, Par Value \$0.01 Per Share	9.46	₩,
Restricted Stock Units	(3)	12/07/2018		A	16.7	<u>(3)</u>	(3)	Common Stock, Par Value \$0.01 Per Share	16.7	\$
Restricted Stock Units	<u>(4)</u>	12/07/2018		A	18.63	<u>(4)</u>	<u>(4)</u>	Common Stock, Par Value \$0.01 Per Share	18.63	\$
Restricted Stock Units	<u>(5)</u>	12/07/2018		A	15.1	<u>(5)</u>	(5)	Common Stock, Par Value \$0.01 Per Share	15.1	\$
Restricted Stock Units	<u>(6)</u>	12/07/2018		A	17.53	<u>(6)</u>	<u>(6)</u>	Common Stock, Par Value \$0.01 Per Share	17.53	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reprining 0 miles state of state of	Director	10% Owner	Officer	Other		
Davis-Blake Alison	X					
C/O TOWER INTERNATIONAL, INC.						
17672 LAUREL PARK DR. NORTH, SUITE 400E	Λ					
LIVONIA, MI 48152						

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# **Signatures**

/s/ Nanette Dudek, Attorney-in-Fact

12/11/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These restricted stock units ("RSUs") result from dividend equivalent units accrued as additional RSUs on 2014 RSU award. Each RSU represents a contingent right to receive one share of the common stock, par value \$0.01 per share (the "Common Stock"), of Tower International, Inc. (the "Company"). RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- (2) Represents total vested RSUs associated with original award that have not yet settled, including vested but unsettled RSUs accrued in respect of dividend equivalent units.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2015 RSU award. Each RSU represents a contingent right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2016 RSU award. Each RSU represents a contingent (4) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2017 RSU award. Each RSU represents a contingent (5) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2018 RSU award. Each RSU represents a contingent right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- (7) Represents total unvested RSUs associated with original award, including unvested RSUs accrued in respect of dividend equivalent units. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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