### Edgar Filing: GOUIN JAMES C - Form 4

TOTILL LANGE

Form 4	IES C										
September 1	1, 2018										
FORM		D STATES	GECU	DITIES AT				MICCION		APPROVAL	
	UNITE	DSIAIES		shington,				VII55IUN	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 or	ger <b>STAT</b> 6.	STATEMENT OF CHANGES IN BENEFICIAL O SECURITIES						SHIP OF	Expires: Estimated burden ho	January 31	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	7(a) of the H	Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section ) of the Investment Company Act of 1940					n				
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> GOUIN JAMES C			2. Issuer Name <b>and</b> Ticker or Trading Symbol Tower International, Inc. [TOWR]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)						(Chec	k all applicat	ble)	
(Last) (First) (Middle) C/O TOWER INTERNATIONAL, INC., 17672 LAUREL PARK DR. NORTH, SUITE 400E			3. Date of Earliest Transaction (Month/Day/Year) 09/07/2018				Director 10% Owner XOfficer (give title Other (specify below) below) Chief Executive Officer				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>					
LIVONIA, N	MI 48152						Fo Persor		Iore than One	Reporting	
(City)	(State)	(Zip)	Tab	ole I - Non-De	erivative S	ecurities A	Acquired,	Disposed of	f, or Benefici	ally Owned	
	2. Transaction Da (Month/Day/Yea	r) Execution any	Date, if	Transaction	Disposed o Instr. 3, 4	A) or f (D)	5. Amou Securitio Benefici Owned Followin Reported Transact (Instr. 3	es F ially (1 ng (1 d tion(s)	6. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Rep	ort on a separate l	ine for each cla	ass of sec	urities benefic	cially owne	ed directly	or indirect	tlv.			
					Person informa require	s who res ation cont d to resp s a curre	spond to tained in ond unle	the collec this form ess the forr d OMB con	are not n	SEC 1474 (9-02)	
	Т	able II - Deriv (e.g., j		curities Acqu ls, warrants,							
		Fransaction Da onth/Day/Year		Deemed ation Date, if	4. Transact	5. Numb ionDerivati		. Date Exerci xpiration Da		7. Title and Amount Underlying Securitie	

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)		5
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	09/07/2018		А	8.51	(1)	<u>(1)</u>	Common Stock, Par Value \$0.01 Per Share	8.51	
Restricted Stock Units	(3)	09/07/2018		А	52.55	(3)	<u>(3)</u>	Common Stock, Par Value \$0.01 Per Share	52.55	
Restricted Stock Units	<u>(4)</u>	09/07/2018		А	208.05	<u>(4)</u>	(4)	Common Stock, Par Value \$0.01 Per Share	208.05	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
GOUIN JAMES C C/O TOWER INTERNATIONAL, INC. 17672 LAUREL PARK DR. NORTH, SUITE 400E LIVONIA, MI 48152			Chief Executive Officer				
Signatures							
/s/ Nanette Dudek, 00/11/2018							

09/11/2018 Attorney-in-Fact \*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These restricted stock units ("RSUs") result from dividend equivalent units accrued as additional RSUs on 2016 RSU award. Each RSU represents a contingent right to receive one share of the common stock, par value \$0.01 per share (the "Common Stock"), of Tower

- (1) International, Inc. (the "Company"). RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- (2) Represents total unvested RSUs associated with original award, including unvested RSUs accrued in respect of dividend equivalent units.

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These RSUs result from dividend equivalent units accrued as additional RSUs on 2017 RSU award. Each RSU represents a contingent(3) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.

(4) These RSUs result from dividend equivalent units accrued as additional RSUs on 2018 RSU award. Each RSU represents a contingent
(4) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.