

Abraham Dana H  
Form 4  
August 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Abraham Dana H

2. Issuer Name **and** Ticker or Trading  
Symbol

UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

1010 GRAND BLVD.

3. Date of Earliest Transaction  
(Month/Day/Year)

08/17/2018

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Executive Vice President

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

KANSAS CITY, MO 64106

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/17/2018		M		2,609	A	\$ 41.37	13,654.2179 <u>(1)</u>	D
Common Stock	08/17/2018		M		3,155	A	\$ 37.84	16,809.2179	D
Common Stock	08/17/2018		M		2,751	A	\$ 41.71	19,560.2179	D
Common Stock	08/17/2018		M		3,169	A	\$ 39.97	22,729.2179	D
Common Stock	08/17/2018		M		2,776	A	\$ 45.58	25,505.2179	D

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Common Stock	08/17/2018	S	200	D	\$ 75.7175	25,305.2179	D
Common Stock	08/17/2018	S	600	D	\$ 75.73	24,705.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.735	24,305.2179	D
Common Stock	08/17/2018	S	395	D	\$ 75.74	23,910.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.745	23,510.2179	D
Common Stock	08/17/2018	S	900	D	\$ 75.75	22,610.2179	D
Common Stock	08/17/2018	S	500	D	\$ 75.76	22,110.2179	D
Common Stock	08/17/2018	S	800	D	\$ 75.77	21,310.2179	D
Common Stock	08/17/2018	S	600	D	\$ 75.78	20,710.2179	D
Common Stock	08/17/2018	S	700	D	\$ 75.79	20,010.2179	D
Common Stock	08/17/2018	S	705	D	\$ 75.81	19,305.2179	D
Common Stock	08/17/2018	S	700	D	\$ 75.82	18,605.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.83	18,205.2179	D
Common Stock	08/17/2018	S	200	D	\$ 75.84	18,005.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.85	17,905.2179	D
Common Stock	08/17/2018	S	310	D	\$ 75.88	17,595.2179	D
Common Stock	08/17/2018	S	200	D	\$ 75.89	17,395.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.93	17,295.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.94	17,195.2179	D
Common Stock	08/17/2018	S	200	D	\$ 76	16,995.2179	D
	08/17/2018	S	954	D	\$ 76.02	16,041.2179	D

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Common Stock								
Common Stock	08/17/2018		S	200	D	\$ 76.03	15,841.2179	D
Common Stock	08/17/2018		S	300	D	\$ 76.04	15,541.2179	D
Common Stock	08/17/2018		S	300	D	\$ 76.05	15,241.2179	D
Common Stock							289.4522 <sup>(2)</sup>	I By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (Right to Buy)	\$ 41.37	08/17/2018		M	2,609	01/01/2012 <sup>(3)</sup> 01/01/2019	Common Stock 2,609
Stock Option (Right to Buy)	\$ 37.84	08/17/2018		M	3,155	01/01/2013 <sup>(4)</sup> 01/01/2020	Common Stock 3,155
Stock Option (Right to Buy)	\$ 41.71	08/17/2018		M	2,751	01/01/2014 <sup>(5)</sup> 01/01/2021	Common Stock 2,751
Stock Option	\$ 39.97	08/17/2018		M	3,169	01/01/2015 <sup>(6)</sup> 01/01/2022	Common Stock 3,169

(Right to  
Buy)

Stock

Option  
(Right to  
Buy)

\$ 45.58

08/17/2018

M

2,776

01/01/2016<sup>(7)</sup>

01/01/2023

Common  
Stock

2,776

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Abraham Dana H 1010 GRAND BLVD. KANSAS CITY, MO 64106			Executive Vice President	

## Signatures

/s/ Megan L. Mercer, attorney-in-fact for Ms.  
Abraham

08/20/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects shares acquired through the reinvestment of dividends on restricted stock.
- (2) Reflects ESOP allocations and dispositions that have occurred since the date of the reporting person's last ownership report.
- (3) Options vested 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014.
- (4) Options vested 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015.
- (5) Options vested 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2016.
- (6) Options vested 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.
- (7) Options vested 50% on 1/1/2016, 75% on 1/1/2017 and 100% on 1/1/2018.

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