

Bastarrica Air A. Jr.
Form 3
June 29, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Bastarrica Air A. Jr.	(Month/Day/Year)	Knowles Corp [KN]
(Last) (First) (Middle)	06/22/2018	
	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O KNOWLES CORPORATION,Â 1151 MAPLEWOOD DR.	(Check all applicable)	
(Street)	☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Vice President & Controller	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
ITASCA,Â ILÂ 60143-2071		
(City) (State) (Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	13,543 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	02/17/2017 ⁽²⁾	02/17/2023	Common Stock	5,319 ⁽³⁾	\$ 11.02	D	Â
Stock Option (Right to Buy)	02/16/2018 ⁽⁴⁾	02/15/2024	Common Stock	8,915	\$ 19.28	D	Â
Stock Option (Right to Buy)	02/15/2019 ⁽⁴⁾	02/15/2025	Common Stock	11,132	\$ 14.29	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bastarrica Air A. Jr. C/O KNOWLES CORPORATION 1151 MAPLEWOOD DR. ITASCA, IL 60143-2071	Â	Â	Â Vice President & Controller	Â

Signatures

/s/ Mark Pacioni,
attorney-in-fact

06/29/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- This total includes the following unvested Restricted Stock Units: 1,361 units from award dated 2/17/16 and will vest on 2/17/19; 3,112 units from award dated 2/16/17 and 1,556 will vest on 2/16/19 and 1,556 will vest on 2/16/20; and 6,298 units from award dated 2/15/18 that vests ratably over three years commencing on the first anniversary of the award.
- (1) Option granted under the Knowles Corporation 2014 Equity and Cash Incentive Plan that vests ratably over three years commencing on the first anniversary of the award.
 - (2) The original grant was issued for 7,979 options and 2,660 were previously exercised. The remaining balance on this grant is 5,319.
 - (3) Option granted under the Knowles Corporation 2016 Equity and Cash Incentive Plan that vests ratably over three years commencing on the first anniversary of the award.
 - (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.