Guttman Tim G. Form 4 March 06, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Guttman Tim G. Issuer Symbol AMERISOURCEBERGEN CORP (Check all applicable) [ABC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_\_Other (specify X\_ Officer (give title \_ (Month/Day/Year) below) 1300 MORRIS DRIVE 03/05/2018 Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHESTERBROOK, PA 19087 Person

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D   | erivative    | Secur            | ities Acq  | uired, Disposed of   | , or Beneficial   | ly Owned |
|--------------------------------------|---|---|---|--------------|------------------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V  | Amount       | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |          |
| Common<br>Stock                      | 03/05/2018                              |   | M   | 5,800<br>(1) | A                | \$<br>37.37  | 56,471.876   | D   |          |
| Common<br>Stock                      | 03/05/2018                              |   | S   | 5,800<br>(1) | D                | \$<br>93.01  | 50,671.876   | D   |          |
| Common<br>Stock                      | 03/05/2018                              |   | M   | 5,800<br>(1) | A                | \$<br>40.21  | 56,471.876   | D   |          |
| Common<br>Stock                      | 03/05/2018                              |   | S   | 5,800<br>(1) | D                | \$<br>93.01  | 50,671.876   | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |              | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                            |
|---|---|--------------------------------------|---|---|--------------|--|--------------------|---|----------------------------|
|   |   |                                      |   | Code V  | (A) (D)      | Date Exercisable   | Expiration<br>Date | Title   | An<br>or<br>Nu<br>of<br>Sh |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 37.37  | 03/05/2018                           |   | M   | 5,800<br>(1) | 03/01/2013(2)  | 03/01/2019         | Common<br>Stock   | 5                          |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 40.21  | 03/05/2018                           |   | M   | 5,800<br>(1) | 11/14/2013(3)  | 11/14/2019         | Common<br>Stock   | 5                          |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Guttman Tim G.

1300 MORRIS DRIVE Chief Financial Officer

CHESTERBROOK, PA 19087

## **Signatures**

John G. Chou for Tim G.
Guttman
03/06/2018

\*\*Signature of Reporting Person Dat

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise of stock options and the sale of common stock reflected in this Form 4 were pursuant to a Rule 10b-5 trading plan dated August 18, 2017 governing stock options scheduled to expire on March 1, 2019 and November 14, 2019.
- (2) Exercisable in four equal annual installments on 03/01/13, 03/01/14, 03/01/15 and 03/01/2016

Reporting Owners 2

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(3) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16

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