UMB FINANCIAL CORP

Form 4

August 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number: Expires:

5. Relationship of Reporting Person(s) to

2,728.8198

3,828.8198

3,127.8198

3,032.8198

718.5919

57.12

\$0

D

D

D

D

D

D

January 31, 2005

0.5

Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

08/12/2016

08/12/2016

08/12/2016

08/15/2016

Stock

Stock

Stock

Stock

Stock

Common

Common

Common

Common

Pauls John		Symbol UMB F	Symbol UMB FINANCIAL CORP [UMBF]			Issuer			
(Last)	, , ,	Middle) 3. Date of (Month/E	f Earliest Transaction Day/Year)	. (01.121)	Director		Owner		
1010 GRA	ND BLVD.	08/12/2	016		X Officer (give title Other (specify below) EVP & General Counsel				
	(Street)		ndment, Date Origina nth/Day/Year)	l	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
KANSAS (CITY, MO 64106								
(City)	(State)	(Zip) Tabl	e I - Non-Derivative	Securities Acq	quired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Transaction(A) or D	ties Acquired isposed of (D) 4 and 5) (A) or (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	09/12/2016		S 222	D 3	2 729 9109	D			

232

1,100

701

V 95

S

M

F

Edgar Filing: UMB FINANCIAL CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				(Instr. 3, 4, and 5)						
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 36.36	08/12/2016		M		1,100	11/13/2009	12/13/2016	Common Stock	1,100

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pauls John

1010 GRAND BLVD. EVP & General Counsel

KANSAS CITY, MO 64106

Signatures

/s/ Megan L. Mercer, attorney-in-fact for Mr.
Pauls

08/16/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2