SunCoke Energy, Inc. Form 4 February 19, 2016

Check this box

if no longer

subject to

Section 16.

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

Gates Katherine T

2. Issuer Name and Ticker or Trading

**SECURITIES** 

Symbol

SunCoke Energy, Inc. [SXC]

3. Date of Earliest Transaction

(Last) (First) (Middle)

(Month/Day/Year)

1011 WARRENVILLE ROAD, STE. 02/17/2016 600

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

below) Sr VP & General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LISLE, IL 60532

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T) (Instr. 4)

Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amount Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date Underlying Securitie Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

### Edgar Filing: SunCoke Energy, Inc. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	() (] (]	Acquired (A) or Disposed (D) (Instr. 3, and 5)	d of				
				Code V	I	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Market Options (02.17.2016)	\$ 3.8	02/17/2016		A	Ğ	9,942		02/17/2017	02/17/2026	Common Stock	9,942
Performance Options (02.17.2016)	\$ 3.8	02/17/2016		A	Ģ	9,941		02/17/2017	02/17/2026	Common Stock	9,941

# **Reporting Owners**

Reporting Owner Name / Address	rting Owner Name / Address						
	Director	10% Owner	Officer	Other			

Gates Katherine T 1011 WARRENVILLE ROAD, STE. 600

Sr VP & General Counsel

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## **Signatures**

LISLE, IL 60532

/s/ Rita M. Slager, attorney-in-fact 02/19/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term (1) Performance Enhancement Plan in a transaction exempt under Rule 16b-3 and exercisable in three equal annual installments commencing on February 17, 2017.
- Performance Stock options (right to buy) SunCoke Energy, Inc. common stock, granted February 17, 2016, pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. These options vest on the first trading day following the trading day on which the closing price of the common stock equals or exceeds two and one-half times the closing price on the grant date, subject to reporting person's continued employment through the applicable period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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