FIDELITY SOUTHERN CORP

Form 4 May 13, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

BOCKEL DAVID R

2. Issuer Name and Ticker or Trading

Symbol

FIDELITY SOUTHERN CORP

3. Date of Earliest Transaction

[LION]

(Last) (First) (Middle)

(Month/Day/Year) 05/09/2014

3490 PIEDMONT ROAD, SUITE 1550

(Street)

(State)

Filed(Month/Day/Year)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line)

308.7826 A

X Form filed by One Reporting Person Form filed by More than One Reporting

Officer (give title

Issuer

below)

_X__ Director

ATLANTA, GA 30305

(City)

• • • • • • • • • • • • • • • • • • • •		Table	1 - Non-De	erivative Sect	iriues A	cquirea,	Disposed of, or f	senencially O	wnea
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	Acquire	ed (A) or	5. Amount of	6.	7. Nature
Security	(Month/Day/Year)	Execution Date, if	Transactio	orDisposed of	(D)		Securities	Ownership	of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 a	nd 5)		Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
E: 1 1:4			Code v	Amount	(D)	THE			

 $A^{(1)}$

Fidelity Southern

Corporation 05/09/2014

(Zip)

- Common Stock

Fidelity Southern

Corporation - Common Stock

38,169.4605 D

4,388

By Ira

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Other (specify

296

I

I

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Fidelity By
Southern Spouse
Corporation

- Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. DrNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 15.56					01/23/2015(2)	01/23/2019	Fidelity Southern Corporation - Common Stock	6,000	
Stock Option (Right to Buy)	\$ 6.15					01/19/2013(3)	01/19/2017	Fidelity Southern Corporation - Common	3,333	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BOCKEL DAVID R 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	X					

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Signatures

Barbara McNeill, Attorney in Fact, David R.
Bockel
05/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/23/2015; 1/3 on 1/23/2016; 1/3 on 1/23/2017
- (3) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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