## Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

### AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

Common

Common

Stock

Stock

12/06/2013

12/06/2013

December 10, 2013

December 10	, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITED	STATES		ATTIES A Thington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check this if no long	a <b>r</b>								Expires:	January 31,	
subject to Section 16. Form 4 or  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per response 0.5			
Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	esponses)										
1. Name and Ad Satine Alber	Name and		Tradii	ng	f Reporting Person(s) to						
		ERICAN AXLE & NUFACTURING HOLDINGS [AXL]				(Check all applicable) Director 10% Owner					
(Last)	(Last) (First) (Middle) 3. Date of (Month/Date)				ransaction			X_ Officer (give title Other (specify below) GroupVP-Global Sales & Bus Dev			
ONE DAUC	H DRIVE		12/06/20	013							
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DETROIT, N	MI 48211-1198							Form filed by M Person	Iore than One Rep	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. Transactic Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	12/06/2013			M	9,000	A	\$ 15.58	52,663	D		
Common Stock	12/06/2013			M	7,700	A	\$ 10.08	60,363	D		
Common Stock	12/06/2013			S	8,667	D	\$ 20.22	51,696	D		

S

S

5,000 D

4,973 D

46,696

41,723

D

D

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Common Stock	12/06/2013	S	1,127	D	\$ 20.25	40,596	D
Common Stock	12/06/2013	S	700	D	\$ 20.26	39,896	D
Common Stock	12/06/2013	S	2,100	D	\$ 20.28	37,796	D
Common Stock	12/06/2013	S	133	D	\$ 20.31	37,663	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 15.58	12/06/2013		M	9,000	<u>(1)</u>	03/15/2016	Common Stock	9,000
Employee Stock Options (Right to Buy)	\$ 10.08	12/06/2013		M	7,700	(2)	06/25/2018	Common Stock	7,700

# **Reporting Owners**

Reporting Owner Name / Address	Ketationships						
	Director	10% Owner	Officer	Other			

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Satine Alberto L ONE DAUCH DRIVE DETROIT, MI 48211-1198 GroupVP-Global Sales & Bus Dev

## **Signatures**

Laura L. Douglas, Attorney-in-fact

12/09/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vested in three approximately equal annual installments beginning March 15, 2007.
- (2) These options vested in three approximately equal annual installments beginning June 25, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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