## Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

### HAVERTY FURNITURE COMPANIES INC

Form 4 June 17, 2013

Class A Common

June 17, 20	13							
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL	
	UNITED		ashington, D.C. 2		COMMISSION	OMB Number:	3235-0287	
Check to if no los subject Section Form 4	nger to STATEN 16.		NGES IN BENEI SECURITIES		NERSHIP OF	Expires: January 31 2009 Estimated average burden hours per response 0.9		
Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type	e Responses)							
1. Name and Address of Reporting Person * HAVERTY RAWSON JR			uer Name <b>and</b> Ticker o I ERTY FURNITUF	_	5. Relationship of Reporting Person(s) to Issuer			
			PANIES INC [HV		(Check all applicable)			
(Last)	(Month/Day/Year)X_ Officer (g				_X_ Officer (give	· · · · · · · · · · · · · · · · · · ·		
780 JOHNSON FERRY RD., SUITE 06/14/2013  800  below)  Senior Vice President							ent	
A COV. A DICTO	(Street)		mendment, Date Origin Ionth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
ATLANTA, GA 30342-  —— Form filed by More than One Reporting Person								
(City)	(State)	(Zip) Ta	ble I - Non-Derivativ	e Securities Acc	quired, Disposed of	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Doctor Code (Instr. 3,	-	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/14/2013		S 5,000	D \$ 24.097	0 (1)	I	By H5, LP	
Class A Common Stock					100,451	D		
Class A Common Stock					625,823 (1)	I	By H5, LP	

By Pine Hill Associates,

625,823 (1)

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Stock			LLC
Class A Common Stock	11,228	I	Co-ttee Of Tr Fbo Daughter
Class A Common Stock	5,796	I	Co-ttee Of Tr Fbo Son
Common Stock	0 (1)	I	By Pine Hill Associates, LLC
Common Stock	1,000	I	Co-ttee Of Tr Fbo Daughter
Common Stock	1,000	I	Co-ttee Of Tr Fbo Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>					(2)	(2)	Common Stock	4,900
RSUs 2011-01-27	<u>(3)</u>					<u>(3)</u>	<u>(3)</u>	Common Stock	2,500
RSUs 2012	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	3,750
RSUs 2013	<u>(5)</u>					(5)	(5)		4,500

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Common Stock

Stock

Appreciation \$ 18.14 Rights

(6)

01/24/2020

Common Stock

8,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topolonia o milo i milo pirantesso	Director	10% Owner	Officer	Other		
HAVERTY RAWSON JR 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342-	X	X	Senior Vice President			

# **Signatures**

Belinda J. Clements, Attorney-in-Fact

06/14/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.
- Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in (2) each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon vesting.
- (3) Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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