**ILLUMINA INC** Form 4 April 11, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* FLATLEY JAY T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ILLUMINA INC [ILMN]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_ Director X\_ Officer (give title

below)

10% Owner \_ Other (specify

5200 ILLUMINA WAY

(Street)

(First)

04/10/2014

Chief Executive Officer

(Middle)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN DIEGO, CA 92122

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Secu	rities Acquir	ed, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	04/10/2014		M	30,000 (1)	A	\$ 20.04	411,262	D	
Common Stock	04/10/2014		S	2,715 (1)	D	\$ 136.4426 (2)	408,547	D	
Common Stock	04/10/2014		S	3,594 (1)	D	\$ 137.555 (3)	404,953	D	
Common Stock	04/10/2014		S	2,620 (1)	D	\$ 138.4069 (4)	402,333	D	
	04/10/2014		S		D		399,080	D	

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Common Stock			3,253 (1)		\$ 139.7239 (5)			
Common Stock	04/10/2014	S	13,518 (1)	D	\$ 140.3395 <u>(6)</u>	385,562	D	
Common Stock	04/10/2014	S	800 (1)	D	\$ 141.3575 (7)	384,762	D	
Common Stock	04/10/2014	S	1,100 (1)	D	\$ 142.7309 (8)	383,662	D	
Common Stock	04/10/2014	S	800 (1)	D	\$ 143.5775 (9)	382,862	D	
Common Stock	04/10/2014	S	1,400 (1)	D	\$ 145.3786 (10)	381,462	D	
Common Stock	04/10/2014	S	200 (1)	D	\$ 146.55	381,262	D	
Common Stock						6,000	I	by Daughters

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 20.04	04/10/2014		M	30,000 (1)	02/25/2007	01/25/2017	Common Stock	30

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

X

Director 10% Owner Officer Other

FLATLEY JAY T 5200 ILLUMINA WAY SAN DIEGO, CA 92122

Chief Executive Officer

# **Signatures**

By: Scott M. Davies For: Jay T. Flatley

04/11/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
- Weighted average sale price representing 2,715 shares sold ranging from \$135.89 to \$136.84 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 3,594 shares sold ranging from \$136.9526 to \$137.93 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 2,620 shares sold ranging from \$138.00 to \$138.77 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 3,253 shares sold ranging from \$139.02 to \$140.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 13,518 shares sold ranging from \$140.02 to \$141.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 800 shares sold ranging from \$141.02 to \$142.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (8) Weighted average sale price representing 1,100 shares sold ranging from \$142.12 to \$142.81 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (9) Weighted average sale price representing 800 shares sold ranging from \$143.55 to \$143.60 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (10) Weighted average sale price representing 1,400 shares sold ranging from \$145.19 to \$145.57 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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