Achaogen Inc Form SC 13G/A February 14, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## SCHEDULE 13G/A

Under the Securities Exchange Act of 1934 (Amendment No. 1)\*

# ACHAOGEN, INC.

(Name of Issuer)

## COMMON STOCK

(Title of Class of Securities)

## 004449104

(CUSIP Number)

## December 31, 2017

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1.	Name of Reporting Persons Venrock Partners, L.P.		
2.	Check the Appropriate Box if a (a) (b)	Member of a Group (See Ir x(1) o	nstructions)
3.	SEC Use Only		
4.	Citizenship or Place of Organiz Delaware	ation	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Beneficially 1,746,461(2)	V Owned by Each Reporting	Person
10.	Check if the Aggregate Amount	t in Row (9) Excludes Certa	in Shares (See Instructions) o
11.	Percent of Class Represented by 4.1%(3)	y Amount in Row (9)	
12.	Type of Reporting Person (See PN	Instructions)	

<sup>(1)</sup> Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

This percentage is calculated based upon 42,393,609 shares of the Issuer s common stock outstanding as of November 2, 2017, as set (3) forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 8, 2017.

<sup>2</sup> 

1.	Name of Reporting Persons Venrock Associates IV, L.P.		
2.	Check the Appropriate Box if a (a) (b)	Member of a Group (See In x(1) o	istructions)
3.	SEC Use Only		
4.	Citizenship or Place of Organiza Delaware	ation	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Beneficially 1,746,461(2)	Owned by Each Reporting	Person
10.	Check if the Aggregate Amount	t in Row (9) Excludes Certa	in Shares (See Instructions) o
11.	Percent of Class Represented by 4.1%(3)	Amount in Row (9)	
12.	Type of Reporting Person (See PN)	Instructions)	

<sup>(1)</sup> Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

This percentage is calculated based upon 42,393,609 shares of the Issuer s common stock outstanding as of November 2, 2017, as set (3) forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 8, 2017.

<sup>3</sup> 

1.	Name of Reporting Persons Venrock Entrepreneurs Fund IV, L.P.		
2.	Check the Appropriate Box if a M (a) x (b) c	x(1)	nstructions)
3.	SEC Use Only		
4.	Citizenship or Place of Organizati Delaware	ion	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Beneficially Owned by Each Reporting Person 1,746,461(2)		
10.	Check if the Aggregate Amount in	n Row (9) Excludes Certa	in Shares (See Instructions) o
11.	Percent of Class Represented by A 4.1%(3)	Amount in Row (9)	
12.	Type of Reporting Person (See In PN	structions)	

<sup>(1)</sup> Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

This percentage is calculated based upon 42,393,609 shares of the Issuer s common stock outstanding as of November 2, 2017, as set (3) forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 8, 2017.

<sup>4</sup> 

1.	Name of Reporting Persons Venrock Partners Management, LLC		
2.	Check the Appropriate Box if a (a) (b)	Member of a Group (See In x(1) o	nstructions)
3.	SEC Use Only		
4.	Citizenship or Place of Organiz Delaware	ation	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Beneficially Owned by Each Reporting Person 1,746,461(2)		
10.	Check if the Aggregate Amoun	t in Row (9) Excludes Certa	in Shares (See Instructions) o
11.	Percent of Class Represented by Amount in Row (9) 4.1%(3)		
12.	Type of Reporting Person (See OO	Instructions)	

<sup>(1)</sup> Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

This percentage is calculated based upon 42,393,609 shares of the Issuer s common stock outstanding as of November 2, 2017, as set (3) forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 8, 2017.

<sup>5</sup> 

1.	Name of Reporting Persons Venrock Management IV, LLC		
2.	Check the Appropriate (a) (b)	Box if a Member of a Gro x(1) o	oup (See Instructions)
3.	SEC Use Only	0	
4.	Citizenship or Place of Delaware	f Organization	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Be 1,746,461(2)	neficially Owned by Each	Reporting Person
10.	Check if the Aggregate	e Amount in Row (9) Excl	udes Certain Shares (See Instructions) o
11.	Percent of Class Repre 4.1%(3)	esented by Amount in Row	(9)
12.	Type of Reporting Pers OO	son (See Instructions)	

<sup>(1)</sup> Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

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<sup>6</sup> 

1.	Name of Reporting Persons VEF Management IV, LLC		
2.	Check the Appropriate Box if a M (a) x (b) c	x(1)	nstructions)
3.	SEC Use Only		
4.	Citizenship or Place of Organizati Delaware	ion	
	5.		Sole Voting Power 0
Number of Shares Beneficially Owned by	6.		Shared Voting Power 1,746,461(2)
Each Reporting Person With:	7.		Sole Dispositive Power 0
	8.		Shared Dispositive Power 1,746,461(2)
9.	Aggregate Amount Beneficially C 1,746,461(2)	Owned by Each Reporting	Person
10.	Check if the Aggregate Amount in	n Row (9) Excludes Certa	in Shares (See Instructions) o
11.	Percent of Class Represented by A 4.1%(3)	Amount in Row (9)	
12.	Type of Reporting Person (See In OO	structions)	

Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the (1)general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G/A.

<sup>(2)</sup> Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P. and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.

This percentage is calculated based upon 42,393,609 shares of the Issuer s common stock outstanding as of November 2, 2017, as set (3) forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 8, 2017.

<sup>7</sup> 

Item 1.		L.P., a limited partnership o Associates IV, L.P., a limite ( Venrock IV ), Venrock F laws of the State of Delawar limited liability company or Management ), Venrock M the laws of the State of Dela limited liability company or Management and collectiv	organized under the laws of the ed partnership organized under Entrepreneurs Fund IV, L.P., a re (Entrepreneurs Fund), V organized under the laws of the lanagement IV, LLC, a limited aware (Venrock Managemen organized under the laws of the rely with VP, Venrock IV, Ent	l liability company organized under t) and VEF Management IV, LLC, a State of Delaware (VEF
	(a)	Name of Issuer		
	(b)	Achaogen, Inc. Address of Issuer s Princi	pal Executive Offices	
		1 Tower Place, Suite 300		
		South San Francisco, CA 9	94080	
Item 2.	(a)	Name of Person Filing		
		Venrock Partners, L.P.		
		Venrock Associates IV, L.	Р.	
		Venrock Entrepreneurs Fu	nd IV, L.P.	
		Venrock Partners Management, LLC		
		Venrock Management IV,	LLC	
	(b)	VEF Management IV, LLC Address of Principal Busin	C ness Office or, if none, Resider	nce
		New York Office:	Palo Alto Office:	Boston Office:
		530 Fifth Avenue	3340 Hillview Avenue	34 Farnsworth Street
		22nd Floor	Palo Alto, CA 94304	3rd Floor
	(c)	State of Delaware. Each of		Boston, MA 02210 ited partnerships organized in the lanagement and VEF Management Delaware.

# Edgar Filing: Achaogen Inc - Form SC 13G/A

- (d) Title of Class of Securities
  - Common Stock CUSIP Number

(e)

- 004449104
- Item 3. If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a: Not applicable

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## Item 4. Ownership

(a) Amount Beneficially Owned as of December 31, 2017:

(ii)

V	enrock Partners, L.P.	1,746,461(1)
V	enrock Associates IV, L.P.	1,746,461(1)
V	enrock Entrepreneurs Fund IV, L.P.	1,746,461(1)
V	enrock Partners Management, LLC	1,746,461(1)
V	enrock Management IV, LLC	1,746,461(1)
V	'EF Management IV, LLC	1,746,461(1)
) P	ercent of Class as of December 31, 2017:	
V	enrock Partners, L.P.	4.1%
V	enrock Associates IV, L.P.	4.1%
V	enrock Entrepreneurs Fund IV, L.P.	4.1%
V	enrock Partners Management, LLC	4.1%
V	enrock Management IV, LLC	4.1%
V	'EF Management IV, LLC	4.1%
<mark>) N</mark>	fumber of shares as to which the person has, as of December 31, 2017:	

Venrock Partners, L.P.	0
Venrock Associates IV, L.P.	0
Venrock Entrepreneurs Fund IV, L.P.	0 <mark>0</mark>
Venrock Partners Management, LLC	0 <mark>0</mark>
Venrock Management IV, LLC	0
VEF Management IV, LLC	0
Shared power to vote or to direct the vote	

Venrock Partners, L.P.	1,746,461(1)
Venrock Associates IV, L.P.	1,746,461(1)
Venrock Entrepreneurs Fund IV, L.P.	1,746,461(1)

	Venrock Partners Management,	1,746,461(1)
	LLC	
	Venrock Management IV, LLC	1,746,461(1)
	VEF Management IV, LLC	1,746,461(1)
(iii)	Sole power to dispose or to direct the disposition of	
	Venrock Partners, L.P.	0
	Venrock Associates IV, L.P.	0 <mark>.</mark>
	Venrock Entrepreneurs Fund IV, L.P.	0
	Venrock Partners Management, LLC	0
	Venrock Management IV, LLC	0
	VEF Management IV, LLC	0
(iv)	Shared power to dispose or to direct the disposition of	
	Venrock Partners, L.P.	1,746,461(1)
	Venrock Associates IV, L.P.	1,746,461(1)
	Venrock Entrepreneurs Fund IV, L.P.	1,746,461(1)
	Venrock Partners Management, LLC	1,746,461(1)
	Venrock Management IV, LLC	1,746,461(1)
	VEF Management IV, LLC	1,746,461(1)

<sup>(1)</sup> These shares are owned directly as follows: 289,912 shares of common stock are owned by Venrock Partners, L.P., 1,421,623 shares of common stock are owned by Venrock Associates IV, L.P., and 34,926 shares of common stock are owned by Venrock Entrepreneurs Fund IV, L.P.

<sup>10</sup> 

Ownership of Five Percent or Less of a Class				
If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more that				
bllowing x.				
<b>Ownership of More than Five Percent on Behalf of Another Person</b> Not Applicable				
<b>Identification and Classification of the Subsidiary Which Acquired the Security Being</b> <b>Reported on By the Parent Holding Company or Control Person.</b> Not Applicable				
<b>Identification and Classification of Members of the Group</b> Not Applicable				
Notice of Dissolution of a Group Not Applicable				

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Item 10. Certification Not Applicable

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2018

## Venrock Partners, L.P.

By: Venrock Partners Management, LLC Its: General Partner

By:

/s/ David L. Stepp Authorized Signatory

#### Venrock Associates IV, L.P.

By: Venrock Management IV, LLC Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

#### Venrock Entrepreneurs Fund IV, L.P.

By: VEF Management IV, LLC Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

## Venrock Management IV, LLC

By:	/s/ David L. Stepp
	Authorized Signatory

## VEF Management IV, LLC

By:	/s/ David L. Stepp
	Authorized Signatory

#### Venrock Partners Management, LLC

By:	/s/ David L. Stepp
	Authorized Signatory

## EXHIBITS

A: Joint Filing Agreement (incorporated by reference to Exhibit A of Schedule 13G filed on February 13, 2015).