

Smart & Final Stores, Inc.  
Form DEFR14A  
April 15, 2016

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**SCHEDULE 14A**

**Proxy Statement Pursuant to Section 14(a) of  
the Securities Exchange Act of 1934 (Amendment No. 1)**

Filed by the Registrant  X

Filed by a Party other than the Registrant  O

Check the appropriate box:

- Preliminary Proxy Statement
- Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))**
- Definitive Proxy Statement
- Definitive Additional Materials
- Soliciting Material under §240.14a-12

**Smart & Final Stores, Inc.**

(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- No fee required.
- Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.
  - (1) Title of each class of securities to which transaction applies:
  - (2) Aggregate number of securities to which transaction applies:
  - (3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):
  - (4) Proposed maximum aggregate value of transaction:
  - (5) Total fee paid:
- Fee paid previously with preliminary materials.
- Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.
  - (1) Amount Previously Paid:

Edgar Filing: Smart & Final Stores, Inc. - Form DEFR14A

- (2) Form, Schedule or Registration Statement No.:
  - (3) Filing Party:
  - (4) Date Filed:
-

600 Citadel Drive

Commerce, CA 90040

On March 25, 2016, Smart & Final Stores, Inc., a Delaware corporation (the Company), filed a definitive proxy statement (the Proxy Statement) with the Securities and Exchange Commission (the SEC) in connection with the solicitation of proxies on behalf of the Board of Directors of the Company for use at the Company's 2016 Annual Meeting of Stockholders (the Annual Meeting) and at any adjournments or postponements thereof. The Annual Meeting will be held on Thursday, May 19, 2016 at 10:00 A.M. Pacific Time at the DoubleTree Hotel, Ballroom, 5757 Telegraph Road, Commerce, California 90040.

This amendment to the Proxy Statement is being filed with the SEC by the Company to correct a clerical error in the Proxy Statement with respect to cash compensation of the Company's non-employee directors, which inadvertently omitted that, for the Company's fiscal year ended January 3, 2016, Andrew A. Giancamilli received cash compensation from the Company in connection with his service as a director in accordance the Company's director compensation program in the aggregate amount of \$63,500.

The Director Compensation Table for Fiscal Year 2015 in the Proxy Statement is replaced in its entirety with the following:

Name	Fees Earned or Paid in Cash (\$)	Restricted Stock Awards \$(1)	Total (\$)
Richard A. Anicetti(2)	52,500		52,500
Norman H. Axelrod	56,000		56,000
Andrew A. Giancamilli	63,500		63,500
Dennis T. Gies(3)	74,000		74,000
Paul N. Hopkins(4)	20,000	28,765	48,765
David B. Kaplan(3)	54,500		54,500
Adam L. Stein(3)	82,500		82,500
Kenneth I. Tuchman(4)	20,000	28,765	48,765
Joseph S. Tesoriero	89,000		89,000

(1) The amounts shown for stock awards relate to restricted stock granted under the 2014 Incentive Plan. These amounts are based upon the grant date fair value of awards calculated in accordance with FASB ASC Topic 718. The assumptions used in determining the amounts in this column are set forth in Note 12, Share-Based Compensation, to our consolidated financial statements for fiscal year 2015. Each of Mr. Hopkins and Mr. Tuchman was granted 1,737 shares of restricted stock, which vest at a rate of 25% on each of November 16, 2016, 2017, 2018

and 2019, provided he has remained in service until the vesting date.

(2) Resigned from the Board in September 2015.

(3) Fees paid to ACOF Operating Manager III, LLC and ACOF Operating Manager IV, LLC, which are parties to the Management Services Agreements.

(4) Represents pro rata fees based upon start date.

Except as described above, this amendment to the Proxy Statement does not modify, amend, supplement or otherwise affect the Proxy Statement.

---