Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4

NATURAL HEALTH TRENDS CORP

Form 4

September 30, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

COPPELL, TX 75019

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person * **BROADY GEORGE K**

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

Symbol

NATURAL HEALTH TRENDS

(Check all applicable)

CORP [NHTC]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

X_ Director X 10% Owner Other (specify Officer (give title

(Month/Day/Year)

06/11/2014

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

751 CANYON DRIVE, SUITE 100

(First)

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

							-			· ·
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	3. Transac Code		4. Securities Acquired tion(A) or Disposed of (D)			Securities Beneficially	6. Ownership Form: Direct (D) or	Indirect Beneficial
		(Month/Day/Year)	(Instr.	8) (Instr. 3, 4 and 5) (A)		Owned Indirect (I) Following (Instr. 4) Reported Transaction(s)		Ownership (Instr. 4)		
			Code	V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/11/2014		G	V	20,000	D	\$0	3,357,179	D	
Common Stock	09/04/2014		G	V	4,250	D	\$0	3,352,929	D	
Common Stock	09/29/2014		G	V	35,000	D	\$0	3,317,929	D	
Common Stock								575,298	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D)	3	ate	7. Title Amour Underl Securit (Instr.	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROADY GEORGE K 751 CANYON DRIVE, SUITE 100 COPPELL, TX 75019	X	X					

Signatures

/s/ George K.
Broady

**Signature of Reporting Person

O9/30/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Through George K. Broady 2012 Irrevocable Trust, of which Mr. Broady is the trustee and a beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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