

CERRONE GABRIEL
Form 3
January 24, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â CERRONE GABRIEL</p> <p>(Last) (First) (Middle)</p> <p>C/O SYNERGY PHARMACEUTICALS, INC., Â 420 LEXINGTON AVE., SUITE 1609</p> <p>(Street)</p> <p>NEW YORK, Â NY Â 10170</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/23/2012</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TrovaGene Inc. [TROV]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,740,356	I	By Panetta Partners, Ltd. ⁽¹⁾
Common Stock	37,500	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Options	06/24/2004	06/04/2014	Common Stock	1,012,500	\$ 1.25	D	Â
Stock Options	Â ⁽²⁾	05/24/2015	Common Stock	240,000	\$ 2.5	D	Â
Stock Options	12/20/2006	12/20/2016	Common Stock	353,571	\$ 0.7	D	Â
Stock Options	Â ⁽³⁾	08/18/2019	Common Stock	900,000	\$ 0.5	D	Â
Stock Options	Â ⁽⁴⁾	02/26/2020	Common Stock	50,000	\$ 0.6	D	Â
Warrants	08/05/2009	12/31/2018	Common Stock	957,780	\$ 0.5	I	By Panetta Partners, Ltd. ⁽¹⁾
Warrants	08/06/2010	12/31/2018	Common Stock	26,718	\$ 0.5	I	By Panetta Partners, Ltd. ⁽¹⁾
Warrants	01/06/2011	12/31/2018	Common Stock	37,500	\$ 0.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CERRONE GABRIEL C/O SYNERGY PHARMACEUTICALS, INC. 420 LEXINGTON AVE., SUITE 1609 NEW YORK, NY 10170	Â X	Â X	Â	Â

Signatures

/s/ Gabriele M. Cerrone 01/23/2012

**Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Cerrone is the sole managing partner of Panetta Partners, Ltd. and in such capacity exercises voting and dispositive control over securities owned by Panetta despite him having only a small pecuniary interest in such securities.

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- (2) 80,000 options vest on each of 5/24/2006, 2007 and 2008.
- (3) 450,000 options vest on each of 8/5/2010 and 8/5/2011.
- (4) 16,667 options vest on each of 2/26/2011 and 2012 and 16,666 options vest on 2/26/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.