

Edgar Filing: RED HAT INC - Form 4

RED HAT INC  
Form 4  
October 01, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

|                                       |                |          |
|---------------------------------------|----------------|----------|
| Young                                 | Robert         | F.       |
| -----                                 | -----          | -----    |
| (Last)                                | (First)        | (Middle) |
| c/o Red Hat, Inc., 1801 Varsity Drive |                |          |
| -----                                 |                |          |
| (Street)                              |                |          |
| Raleigh                               | North Carolina | 27606    |
| -----                                 | -----          | -----    |
| (City)                                | (State)        | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol

Red Hat, Inc. (RHAT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

September 30 - October 1, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|                                                     |                                                |
|-----------------------------------------------------|------------------------------------------------|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Filing (Check applicable line)

# Edgar Filing: RED HAT INC - Form 4

[X] Form filed by one Reporting Person  
 [\_] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3)        | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if any,<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |          |
|----------------------------------------------|-----------------------------------------|-----------------------------------------------------------|-----------------------------------------|---|----------------------------------------------------------------------------|------------------|----------|
|                                              |                                         |                                                           | -----<br>Code                           | V | Amount                                                                     | (A)<br>or<br>(D) | Price    |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 3,965                                                                      | D                | \$4.7565 |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 3,965 (1) (2)                                                              | D                | \$4.7565 |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 317 (1) (2)                                                                | D                | \$4.7565 |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 860 (1) (2)                                                                | D                | \$4.7565 |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 860 (1) (2)                                                                | D                | \$4.7565 |
| Common Stock, \$.0001<br>par value per share | 9/30/02                                 | 9/30/02                                                   | S                                       |   | 860 (1) (2)                                                                | D                | \$4.7565 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

Edgar Filing: RED HAT INC - Form 4

(Over)

(Form 4-07/99)

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

|                                       |                |          |
|---------------------------------------|----------------|----------|
| Young                                 | Robert         | F.       |
| -----                                 | -----          | -----    |
| (Last)                                | (First)        | (Middle) |
| c/o Red Hat, Inc., 1801 Varsity Drive |                |          |
| -----                                 |                |          |
| (Street)                              |                |          |
| Raleigh                               | North Carolina | 27606    |
| -----                                 | -----          | -----    |
| (City)                                | (State)        | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol

Red Hat, Inc. (RHAT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

September 30 - October 1, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|                                                     |                                                |
|-----------------------------------------------------|------------------------------------------------|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

# Edgar Filing: RED HAT INC - Form 4

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person  
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3)        | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if any,<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>-----<br>Code V | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |          |
|----------------------------------------------|-----------------------------------------|-----------------------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------------------|------------------|----------|
|                                              |                                         |                                                           |                                                            | Amount                                                                     | (A)<br>or<br>(D) | Price    |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 3,965                                                                      | D                | \$4.7342 |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 3,965 (1) (2)                                                              | D                | \$4.7342 |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 317 (1) (2)                                                                | D                | \$4.7342 |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 860 (1) (2)                                                                | D                | \$4.7342 |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 860 (1) (2)                                                                | D                | \$4.7342 |
| Common Stock, \$.0001<br>par value per share | 10/1/02                                 | 10/1/02                                                   | S                                                          | 860 (1) (2)                                                                | D                | \$4.7342 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.



## Edgar Filing: RED HAT INC - Form 4

Person is the beneficial owner of such securities for purposes of Section 16, or for any other purpose.

(2) Stock sales reported herein were effected pursuant to Rule 10b5-1 trading plans which were effective as follows: Trusts 6/28/02; Robert Young 7/1/02; and Nancy Young 7/11/02.

/s/ Jeffrey Hayes, Attorney-In-Fact

October 1, 2002

-----  
\*\*Signature of Reporting Person  
Under Power of Attorney

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2