KINGSWAY FINANCIAL SERVICES INC

Form 4 May 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Common

Common

Stock

Stock

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * SWETS LARRY G JR

(First)

(Street)

04/29/2016

04/29/2016

04/29/2016

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

KINGSWAY FINANCIAL SERVICES INC [KFS]

(Check all applicable)

KINGSWAY FINANCIAL

3. Date of Earliest Transaction (Month/Day/Year)

04/29/2016

X Director 10% Owner X_ Officer (give title Other (specify below)

President and CEO

(Middle)

4. If Amendment, Date Original

P

P

P

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

4.6699

\$ 4.63

(2)

 $2,066,970 \stackrel{(1)}{=}$

 $2,067,170 \stackrel{(1)}{=}$

SERVICES, 150 PIERCE RD

ITASCA, IL 60143

(City)	(State)	(Zip) Tabl	e I - Non-D) Perivative	Secur	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/29/2016		P	300	A	\$ 4.73	2,062,570 <u>(1)</u> <u>(2)</u>	D	
Common Stock	04/29/2016		P	600	A	\$ 4.72	2,063,170 <u>(1)</u> <u>(2)</u>	D	
Common	04/20/2016		D	700	٨	\$	2,063,870 (1)	D	

700

3.100

200

1

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 $2,067,270 \frac{(1)}{}$ D Common A \$ 4.62 (2) 04/29/2016 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	ersion (Month/Day/Year) Execution Date, if Transa		Transacti	TransactionNumber Expiration Date			Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired			•			Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(221012
					4, and 5)						
					¬, and 3)						
									Amount		
						D.	Б		or		
						Date Exercisable	Expiration Date	Title N	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer

Other

SWETS LARRY G JR KINGSWAY FINANCIAL SERVICES 150 PIERCE RD ITASCA, IL 60143

X President and CEO

Signatures

Reporting Person

/s/ Larry G. 05/02/2016 Swets, Jr. **Signature of Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total reflects one-for-four share consolidation effected by the Company on July 3, 2012.
- (2) Includes 1,382,665 restricted common shares under the 2013 Incentive Plan, approved by shareholders in May 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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