## Edgar Filing: ANWORTH MORTGAGE ASSET CORP - Form 5

ANWORTH MORTGAGE ASSET CORP Form 5 January 26, 2009

January 20, 2	009										
FORM	5							OMB A	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362		
Check this no longer s	shington, D.C. 20549				Expires:	January 31, 2005					
to Section 1 Form 4 or I 5 obligation may contin <i>See</i> Instruc	Form ANNI ns ue.	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					FICIAL	Estimated a burden hou response	average rs per		
1(b).	Filed purs <sup>Idings</sup> Section 17(a	uant to Section 1 ) of the Public Ut 30(h) of the In	tility Holdin	g Compa	ny A	ct of	1935 or Sectio	n			
Siegel Charles Jay Sym AN			2. Issuer Name <b>and</b> Ticker or Trading Symbol ANWORTH MORTGAGE ASSET CORP [ANH]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ASSET COF	(First) (M RTH MORTGAC RPORATION, 1 ENUE, SECONI	(Month/E 12/31/2 GE 299	-	Fiscal Yea	r Ende		Director X Officer (give below) Seni		Owner er (specify		
	ndment, Date Original th/Day/Year)			(	6. Individual or Joint/Group Reporting						
				(chec	x applicable line)						
SANTA MO	NICA, CA 90	)401					_X_ Form Filed by Form Filed by I Person	One Reporting Po More than One Ro			
(City)	(State) (	Zip) Tabl	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	(A) o of (D	)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/31/2008 <u>(1)</u>	Â	J <u>(1)</u>	4,918	A	\$ <u>(1)</u>	40,795	D	Â		
Reminder: Peno	ort on a senarate line t	for each class of	Persons wh	o reenon	d to t	he co	llection of info	mation	SEC 2270		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

## Edgar Filing: ANWORTH MORTGAGE ASSET CORP - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ivative urities juired or posed D) ttr. 3,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D S (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 9.72	Â	Â	Â	Â	10/04/2005	07/19/2015	Common Stock	5,000	

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Siegel Charles Jay C/O ANWORTH MORTGAGE ASSET CORPORATION 1299 OCEAN AVENUE, SECOND FLOOR SANTA MONICA, CA 90401		Â	Â	Senior VP-Finance	Â		
Signatures							
/s/ Charles J.	01/26/2009						

Siegel \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired under Anworth Mortgage Asset Corporation's Dividend Reinvestment and Stock Purchase Plan, in exempt (1) transactions pursuant to Rule 16a-11, at the then current market prices during calendar year 2008.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.