

AMERICAN EQUITY INVESTMENT LIFE HOLDING CO

Form 4

July 03, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Neugent Gerard D.

2. Issuer Name **and** Ticker or Trading
Symbol
AMERICAN EQUITY
INVESTMENT LIFE HOLDING
CO [AEL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

6000 WESTOWN PARKWAY

07/02/2014

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

WEST DES MOINES, IA 50266

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	07/02/2014		S		100	D \$ 24.58	60,203	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014		S		200	D \$ 24.59	60,003	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is

Common Stock	07/02/2014	S	100	D	\$ 24.61	59,903	I <u>(1)</u>	Trustee. Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	200	D	\$ 24.62	59,703	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	600	D	\$ 24.63	59,103	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	1,137	D	\$ 24.64	57,966	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	1,156	D	\$ 24.65	56,810	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	805	D	\$ 24.66	56,005	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	1,000	D	\$ 24.67	55,005	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	1,200	D	\$ 24.68	53,805	I <u>(1)</u>	Shares are owned by a trust to which Mr.

								Neugent is Trustee.
Common Stock	07/02/2014	S	1,400	D	\$ 24.69	52,405	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	500	D	\$ 24.7	51,905	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	100	D	\$ 24.74	51,805	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	100	D	\$ 24.78	51,705	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	402	D	\$ 24.8	51,303	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	500	D	\$ 24.81	50,803	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	400	D	\$ 24.82	50,403	I <u>(1)</u>	Shares are owned by a trust to which Mr. Neugent is Trustee.
Common Stock	07/02/2014	S	100	D	\$ 24.83	50,303	I <u>(1)</u>	Shares are owned by a trust to

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficial Owned Following Transaction (Instr. 6)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title or Number of Shares

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Neugent Gerard D.
6000 WESTOWN PARKWAY X
WEST DES MOINES, IA 50266

Signatures

Debra J. Richardson, by Power of Attorney

07/03/2014

 **Signature of Reporting Person

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are owned by a trust to which Mr. Neugent is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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