### Edgar Filing: CENTRUE FINANCIAL CORP - Form 3

#### CENTRUE FINANCIAL CORP

Form 3

November 02, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CENTRUE FINANCIAL CORP [CFCB] Ferber Derek (Month/Day/Year) 10/23/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 122 W. MADISON STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ Director 10% Owner \_X\_ Form filed by One Reporting Officer Other Person OTTAWA, ILÂ 61350 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership

1. Title of Security (Instr. 4)

(Instr. 4)

Form: Direct (D)

(Instr. 5)

or Indirect (I) (Instr. 5)

By FJ Capital Management LLC **COMMON STOCK** 636,911 Ι (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 3. Title and Amount of 6. Nature of Indirect 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ferber Derek
122 W. MADISON STREET Â X Â Â

OTTAWA, ILÂ 61350

# **Signatures**

/s/Derek J. Ferber, Director, by Daniel R. Kadolph as Power of Attorney

11/02/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Derek J Ferber, as an affiliate of FJ Capital Management LLC ("FJCM"), may be deemed to beneficially own the shares of the Centrue Financial Corporation's (the "Company's") common stock held by FJCM, as investment manager for Financial Opportunity Fund LLC which holds shares of 125,000 of the Company and Bridge Equities III, LLC which holds 511,911 shares of the Company. The Reporting Person disclaims beneficial ownership of the shares of the Company's common stock held by FJCM.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2