#### Edgar Filing: CENTRUE FINANCIAL CORP - Form 3

#### CENTRUE FINANCIAL CORP

Form 3

October 30, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

\_\_\_\_\_\_

washington, D.C. 2034.

Number: 3235-0104 Expires: January 31,

**OMB APPROVAL** 

Estimated average

# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per , response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person *  SULLIV		_	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CENTRUE FINANCIAL CORP [CFCB]						
(Last)	(First)	(Middle)	10/23/2015	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			
122 W. MA	DISON ST	REET					·			
(Street) OTTAWA, IL 61350				(Check	ck all applicable)		6. Individual or Joint/Group			
				X Director 10% Owner Officer Other (give title below) (specify below)			Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	Non-Derivat	on-Derivative Securities Beneficially Owned					
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natur Owners (Instr. 5	•			
COMMON	STOCK		32		I	BY CI	HILDREN			
COMMON	STOCK		499		D	Â				
COMMON	STOCK		56		I	BY SF	POUSE			
COMMON	STOCK		4,166		I	BY TF	RUST			
COMMON	STOCK		15		I	BY IR	A			
Reminder: Rep owned directly	ach class of securities benefic spond to the collection of ained in this form are not and unless the form displ	. 51	EC 1473 (7-02	2)						
	requi	eu to respo	ina amess me min aispi	ays a						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

### Edgar Filing: CENTRUE FINANCIAL CORP - Form 3

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
DIRECTOR STOCK OPTION	(1)	(1)	COMMON STOCK	415	\$ <u>(1)</u>	D	Â
PHANTOM STOCK	(2)	(2)	COMMON STOCK	578.8129	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
SULLIVAN SCOTT C 122 W. MADISON STREET OTTAWA, IL 61350	ÂX	Â	Â	Â		

## **Signatures**

/s/Scott C.
Sullivan

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) STOCK OPTIONS GRANTED TO THE REPORTING PERSON UNDER THE ISSUER'S STOCK OPTION PLAN.
- (2) THE SHARES OF PHANTOM STOCK BECOME PAYABLE, IN CASH OR COMMON STOCK, AT THE ELECTION OF THE REPORTING PERSON, UPON THE REPORTING PERSON'S TERMINATION OF SERVICE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2