## Edgar Filing: General Finance CORP - Form 4

| General Finance CORP<br>Form 4<br>January 05, 2017<br>FORM 4<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b). |               |  |  |  |   | N OMB<br>Number:<br>Expires:<br>Estimate<br>burden h<br>response                | •   |  |
|---|---------------|--|--|--|---|---|---|--|
| (Print or Type F  | Responses)    |  |  |  |   |   |   |  |
| GAGNON NEIL Symbol<br>General   |               |  |  |  |   | of Reporting F<br>eck all applica   | -   |  |
| (Month/E  |               |  | Date of Earliest Transaction Onth/Day/Year) Offic O3/2017 Direct Direct Offic below) |  |   | $\frac{X}{below}$   | 0% Owner<br>Other (specify  |  |
|   |               |  | nth/Day/Year) Applicable Lin<br>_X_ Form filed                                       |  |   | Joint/Group Filing(Check<br>y One Reporting Person<br>y More than One Reporting |   |  |
| (City)  | (State) (Zip) | Table I - No   | n-Derivative   | Securities   | Acquired, Disposed  | of, or Benefic  | ially Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deemed<br>Execution Date, if<br>any  |               | emed 3.<br>ion Date, if Transa<br>Code<br>/Day/Year) (Instr. | 4. Securi<br>action(A) or Di<br>(D)<br>8) (Instr. 3,                                 | ties Acquiro<br>sposed of<br>4 and 5)<br>(A)<br>or | ed 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct   | 7. Nature of<br>Indirect  |  |
| Common<br>Stock   | 01/03/2017    | Code   | V Amount<br>234  | <ul><li>(D) Prid</li><li>D \$ 5</li></ul>          | .5 246,574  | I   | By<br>Managing<br>Member as<br>General<br>Partner of<br>Darwin<br>Partnership |  |
| Common<br>Stock   | 01/04/2017    | S  | 738  | D \$ 5.3   | 245,836<br>8  | I   | By<br>Managing<br>Member as<br>General<br>Partner of                          |  |

|  |       |  |  |           |   |   | Darwi<br>Partne  |   |  |
|--|-------|--|--|-----------|---|---|--|---|--|
| Common<br>Stock  |       |  |  | 966,520   | D |   |  |   |  |
| Common<br>Stock  |       |  |  | 23,674    | I |   | By sel<br>Truste<br>Gagno<br>Secur<br>LLC I<br>Sharin              | ee of<br>on<br>ities                                |  |
| Common<br>Stock  |       |  |  | 242,524   | I |   | By Li<br>Partne<br>the Fa<br>Partne                                | er of<br>mily                                       |  |
| Common<br>Stock  |       |  |  | 1,864,280 | Ι |   | By<br>Manaj<br>Memb<br>Gener<br>Partne<br>Gagno<br>Invest<br>Assoc | ber as<br>al<br>er of<br>on<br>ment                 |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. |       |  |  |           |   |   |  |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)   |       |  |  |           |   |   |  |   |  |
| 1. Title of 2.<br>Derivative Conversion<br>Security or Exercise<br>(Instr. 3) Price of<br>Derivative<br>Security   | · · · |  | 4. 5.<br>TransactionNumber<br>Code of<br>(Instr. 8) Derivativ<br>Securitien<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | S         |   | 7. Title<br>Amoun<br>Underly<br>Securiti<br>(Instr. 3 | t of<br>ying<br>ies  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |  |

| Date Expiration<br>Exercisable Date Title | of     |
|---|--------|
| Code V (A) (D)                            | Shares |

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## **Reporting Owners**

| Reporting Owner Name / Address   |            | Relationships |           |         |       |  |  |
|--|------------|---------------|-----------|---------|-------|--|--|
|  |            | Director      | 10% Owner | Officer | Other |  |  |
| GAGNON NEIL<br>1370 AVENUE OF THE AMERICAS<br>24TH FLOOR<br>NEW YORK, NY 10019 |            |               | Х         |         |       |  |  |
| Signatures   |            |               |           |         |       |  |  |
| /s/ Neil Gagnon  | 01/05/2017 |               |           |         |       |  |  |
| <u>**</u> Signature of<br>Reporting Person                                     | Date       |               |           |         |       |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.