Edgar Filing: LUBRIZOL CORP - Form 4

LUBRIZOL CORP Form 4

April 06, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).
1. Name and Address of Reporting Person
Bares, William G.
29400 Lakeland Boulevard
Wickliffe, OH 44092
2. Issuer Name and Ticker or Trading Symbol

- 2. Issuer Name and Ticker or Trading Symbol The Lubrizol Corporation $_{\rm LZ}$
- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Year 03/31/01
- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director () 10% Owner (X) Officer (give title below) () Other (specify below)

Chairman, President and Chief Executive Officer

- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

Table I Non-Derivativ	ve Securities Acquired, Dispose	d of, or Beneficial	ly Owned	
1. Title of Security	2. 3. 4.Securities Transaction or Disposed	of (D)	5.Amount of Securities Beneficially Owned at End of Month	
Common Shares	3/9/01 M 24,000	A \$21.3438	1	ı
Common Shares	3/9/01 F 15 , 394	D \$33.2750	132,317.4972	ا ا
Common Shares			9,682.6345 (1)	

Table II Derivative Securitites Acquired, Disposed of, or Beneficially Owned									
1.Title of Derivative	2.Con-	13.	4.	15	.Number of	De	6.Date Exer 7	.Title and Amount	8.P
Security	version	Tran	saction		rivative S ϵ	≥cu	cisable and	of Underlying	of
	or Exer				rities Acqu	ıi	Expiration	Securities	vat
	cise				red(A) or D)is	Date(Month/		Sec
	Price of	<u> </u>			posed of(D)	1	Day/Year)		rit
	Deriva-						Date Expir		
	tive				1	A/	/ Exer- ation	Title and Number	
	Secu-				1	D	cisa- Date	of Shares	
	rity	Date	Code	VΙ	Amount	l	ble		

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Employee Stock Option	\$21.343	8 3/9/	M 0	ı	124,000	D	(2)	3/22/	' Common	Share 24,000	1
(Right to Buy)	1	1			I			109	s	I	

Explanation of Responses:

- (1) Reflects end-of-period holdings resulting from acquisitions pursuant to a qualified plan, which are exempt under Section 16b-3(c).
- (2) Options vest 50% one year after grant date, 75% two years after grant date and 100% three years after grant date.

SIGNATURE OF REPORTING PERSON

/s/ William G. Bares by Leslie M. Reynolds ${\tt DATE}$

April 6, 2001