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BARNES GROUP INC

Form 4 April 29, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

| 1. Name and Address of Rep | 2. Issu ''B'' | er Nan | ne | and Tick | er oi | 6. Relationship of Reporting Person(s) | | | | | | |
|---|--|--------|----|--|-------|--|------------------|-----------|--|--|---|--|
| | | | | orting | Pe | ication N rson, untary) | umb | Moi | tatement for nth/Day/Year ril 28, 2003 | to Issuer (Check all applicable) X Director 10% Owner Officer (give title below) Other (specify below) Chairman of the Board | | |
| (Street) Bristol, CT 06011-0489 | | | | | | | | Date | Amendment, e of Original nth/Day/Year) | 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) (State) | (Zip) | | , | Fable | I | Non-De | riva | tive Secu | rities Acquired, Dispo | sed of, or B | eneficially Owned | |
| (Instr. 3) | 2. Trans- action Execu Date Date, (Month/ if any Day/ (Month Year) Year) | | | Trans- action (A) or Disposed of (Instr. 3, 4 & 5) | | | spos | ed of (D) | Securities Beneficially Owned Following Reported Transactions(s) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | V | Amount | (A) or (D) | Price | (Instr. 3 & 4) | | | |
| Common Stock, Par Value \$0.01 Per Share | 04/28/03 | | | M | | 16,300 | A | \$10.37 | 7 | | | |
| " | 04/28/03 | | | S | | 16,300 | D | \$19.983 | 148,128 <u>(1</u> | D | | |
| " | | | | | | | | | 932.2647(2 |) I | By my Wife | |
| 11 | | | | | | | | | 12,563.9924 |) I | As Custodian for Son | |
| " | | | | | | | | | 316,237(2 | <u>I</u> | Trust # CF-2078 | |
| " | | | | | | | | | 1,810.6403 | 3 I | By Employee Stk Purchase Pln | |
| " | | | | | | | | | 7,431.8440 <u>(3</u> |) I | By 401 (k) Plan | |

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| " | | | | 19,678 <u>(2)</u> | I | Trust #300446240 |
|---|--|--|--|-----------------------|---|-------------------|
| " | | | | 19,666 <u>(2)</u> | I | Trust #300445640 |
| " | | | | 11,454 <u>(2)</u> | I | Trust # 300445540 |
| " | | | | 8,847(2) | I | Trust #300445740 |
| " | | | | 8,672 ⁽²⁾ | I | Trust # 300445840 |
| " | | | | 5,875 <u>(2)</u> | I | Trust # 300445940 |
| " | | | | 11,454 <u>(2)</u> | I | Trust # 300446040 |
| " | | | | 11,410 ⁽²⁾ | I | Trust # 300446140 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| | | | 0/1 / | | | | | | | | | | | |
|------------|-----------------|-----------|-----------|---------|------|----------|----------------|----------|----------------|--------|------------|----------------|------------|------------|
| | 2. Conver- | 3. Trans- | 3A. | 4. | 5. N | | 6. Date Exerc | | 7. Title and | | | 9. Number of | 10. | 11. Natu |
| Derivative | sion or | action | Deemed | Trans- | of | | and Expiration | n | Amount of | | Derivative | Derivative | Owner- | of Indire |
| Security | Exercise | Date | Execution | action | Der | ivative | Date | | Underlying | | Security | Securities | ship | Benefici |
| | Price of | | Date, | Code | Sec | urities | (Month/Day/ | | Securities | | (Instr. 5) | Beneficially | Form | Ownersh |
| (Instr. 3) | Derivative | (Month/ | if any | | Acq | uired | Year) | | (Instr. 3 & 4) | | | Owned | of Deriv- | (Instr. 4) |
| | Security | Day/ | (Month/ | (Instr. | (A) | or | | | | | | Following | ative | |
| | | Year) | Day/ | 8) | Dis | osed | | | | | | Reported | Security: | |
| | | | Year) | | of (| D) | | | | | | Transaction(s) | Direct | |
| | | | | | | | | | | | | (Instr. 4) | (D) | |
| | | | | | (Ins | tr. 3, 4 | | | | | | , | or | |
| | | | | | & 5 |) | | | | | | | Indirect | |
| | | | | Code V | _ | | Date | Expira- | Title | Amount | 1 | | (I) | |
| | | | | Couciv | (A) | (D) | Exer-cisable | tion | Title | or | | | (Instr. 4) | |
| | | | | | | | Exci-cisable | Date | | Number | | | | |
| | | | | | | | | Date | | of | | | | |
| | | | | | | | | | | Shares | | | | |
| | #10.2555 | 0.4/20/02 | | 3.6 | | 1 < 200 | 0.4/20/02 | 01/01/04 | C | | | | | |
| Employee | \$10.3777 | 04/28/03 | | M | | 16,300 | 04/28/03 | 01/21/04 | Common | 16,300 | | 0 | D | |
| Stk | | | | | | | | | Stock | | | | | |
| Option | | | | | | | | | | | | | | |
| (Right to | | | | | | | | | | | | | | |
| Buy) | | | | | | | | | | | | | | |

Explanation of Responses:

- (1) Includes 2,000 shares of Restricted Stock Units that is subject to forfeiture if certain events occur.
- (2) I hereby disclaim benefical ownership of shares held by my Wife, my Son and the Trusts.
- (3) Represents the number of shares benefically owned as of 4/28/03.

By: /s/ Thomas O. Barnes

April 29, 2003

Date

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**}Signature of Reporting Person

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**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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