

Paradowski Mark R
Form 4
November 24, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Paradowski Mark R

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
205 CROSSPOINT PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/21/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VP - Information Services

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | | | | (A) or (D) | Price | | |
| Common Stock | 11/21/2017 | | M | 305 | A \$ 28.45 | 10,813.4538 | D |
| Common Stock | 11/21/2017 | | S | 305 | D \$ 39.96 | 10,508.4538 | D |
| Common Stock | 11/21/2017 | | M | 1,436 | A \$ 19.5 | 11,944.4538 | D |
| Common Stock | 11/21/2017 | | S | 1,436 | D \$ 39.96 | 10,508.4538 | D |

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| | | | | | | | |
|--------------|------------|---|-------|---|---------------------|-------------|---|
| Common Stock | 11/21/2017 | M | 2,165 | A | \$ 13.43 | 12,673.4538 | D |
| Common Stock | 11/21/2017 | S | 2,165 | D | \$ 39.96 <u>(1)</u> | 10,508.4538 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title | |
| Non-Qualified Stock Option (Right to Buy) | \$ 28.45 | 11/21/2017 | | M | 305 | 05/19/2009 05/18/2018 | Common Stock | 30 |
| Non-Qualified Stock Option (Right to Buy) | \$ 19.5 | 11/21/2017 | | M | 1,436 | 05/23/2012 05/22/2021 | Common Stock | 1,4 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43 | 11/21/2017 | | M | 2,165 | 05/21/2013 05/20/2022 | Common Stock | 2,1 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Paradowski Mark R 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068 | | | VP - Information Services | |

Signatures

Mark R.
Paradowski

11/24/2017

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 4,454.4538 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 322.9905 shares become fully vested and non-forfeitable on 5/19/2018; 728.7315 shares become fully vested and non-forfeitable 50% per year for two years

- (1) beginning 5/18/2018; 1,826.0655 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018; and the remaining 1,576.6663 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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