COLUMBUS MCKINNON CORP

Form 4 May 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * **TEVENS TIMOTHY T**

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP

[CMCO]

3. Date of Earliest Transaction (Month/Day/Year)

05/19/2014

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

10% Owner

140 JOHN JAMES AUDUBON **PARKWAY**

(First)

(Street)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

X_ Officer (give title Other (specify below)

President & CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Director

X Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228-1197

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqı	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			Couc v	Amount	(D)	THEC	270,672	D	
Common Stock	05/19/2014		F	4,329 (1)	D	\$ 27.12	266,343	D	
Common Stock	05/19/2014		A	15,366 (2)	A	\$ 0	281,709	D	
Common Stock	05/19/2014		A	32,536 (3)	A	\$ 0	314,245 (4)	D	
Common Stock							6,167.8748 (5)	D	

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Common Stock 7,000 I By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numb iorDerivative Securities Acquired or Dispo (D) (Instr. 3, and 5)	es ed (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Incentive Stock Options (Right to Buy)	\$ 28.45						05/19/2009	05/18/2018	Common Stock	8,
Non-Qualified Stock Options (Right to Buy)	\$ 13.27						05/18/2010	05/17/2019	Common Stock	45
Non-Qualified Stock Options (Right to Buy)	\$ 18.24						05/17/2011	05/16/2020	Common Stock	33
Non-Qualified Stock Options (Right to Buy)	\$ 19.5						05/23/2012	05/22/2021	Common Stock	31
Non-Qualified Stock Options (Right to Buy)	\$ 13.43						05/21/2013	05/20/2022	Common Stock	53
Non-Qualified Stock Options (Right to Buy)	\$ 18.95						05/20/2014	05/20/2023	Common Stock	44
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		A	38,938	}	05/19/2014	05/19/2024	Common Stcok	38

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO			

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

05/21/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares with theld to satisfy tax withholding obligations upon vesting of 6,868 restricted stock units on 5/19/2014.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. 19,826 units units become fully vested and non-forfeitable on 5/23/2014 and the remaining 12,710 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
 - Includes 113,504 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 13,355 shares become fully vested and non-forfeitable 50%
- per year for two years beginning 5/21/2015, 15,887 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 27,911 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 15,366 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, if the reporting person remains an employee of issuer. Also includes 32,536 shares which become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- (6) All exercisable, pursuant to IRS limitations.
- (7) All exercisable, pursuant to IRS limitations.
- (8) All exercisable, pursuant to IRS limitations.
- (9) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term (12) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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