

COLUMBUS MCKINNON CORP
 Form 4
 August 03, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 HOWARD KAREN L

2. Issuer Name and Ticker or Trading Symbol
 COLUMBUS MCKINNON CORP
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
 (Month/Day/Year)
 08/01/2011

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 VP-Finance & CFO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | | | | | 60,963 ⁽¹⁾ | D | |
| Common Stock | 08/01/2011 | | M | 6,500 A | \$ 10 67,463 | D | |
| Common Stock | 08/01/2011 | | M | 8,500 A | \$ 10 75,963 | D | |
| Common Stock | 08/01/2011 | | S | 700 ⁽²⁾ D | \$ 16.25 75,263 | D | |
| Common Stock | 08/01/2011 | | S | 500 ⁽²⁾ D | \$ 16.26 74,763 | D | |

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

| | | | | | | | |
|--------------|------------|---|----------------------------|---|-----------|--------|---|
| Common Stock | 08/01/2011 | S | 600 <u>(2)</u> | D | \$ 16.28 | 74,163 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.3 | 73,863 | D |
| Common Stock | 08/01/2011 | S | <u>1,700</u> <u>(2)</u> | D | \$ 16.31 | 72,163 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.315 | 71,863 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.32 | 71,563 | D |
| Common Stock | 08/01/2011 | S | <u>1,500</u> <u>(2)</u> | D | \$ 16.33 | 70,063 | D |
| Common Stock | 08/01/2011 | S | 500 <u>(2)</u> | D | \$ 16.34 | 69,563 | D |
| Common Stock | 08/01/2011 | S | <u>1,000</u> <u>(2)</u> | D | \$ 16.35 | 68,563 | D |
| Common Stock | 08/01/2011 | S | 500 <u>(2)</u> | D | \$ 16.36 | 68,063 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.37 | 67,763 | D |
| Common Stock | 08/01/2011 | S | 90 <u>(2)</u> | D | \$ 16.38 | 67,673 | D |
| Common Stock | 08/01/2011 | S | 200 <u>(2)</u> | D | \$ 16.39 | 67,473 | D |
| Common Stock | 08/01/2011 | S | 200 <u>(2)</u> | D | \$ 16.4 | 67,273 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.41 | 67,173 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.42 | 67,073 | D |
| Common Stock | 08/01/2011 | S | 5 <u>(2)</u> | D | \$ 16.43 | 67,068 | D |
| Common Stock | 08/01/2011 | S | 200 <u>(2)</u> | D | \$ 16.45 | 66,868 | D |
| Common Stock | 08/01/2011 | S | 5 <u>(2)</u> | D | \$ 16.47 | 66,863 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.6 | 66,763 | D |
| Common Stock | 08/01/2011 | S | 401 <u>(2)</u> | D | \$ 16.61 | 66,362 | D |
| | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.62 | 66,262 | D |

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

| | | | | | | | | |
|--------------|------------|---|--------------------|---|-----------|-----------------------------|---|--|
| Common Stock | | | | | | | | |
| Common Stock | 08/01/2011 | S | 100 ⁽²⁾ | D | \$ 16.63 | 66,162 | | D |
| Common Stock | 08/01/2011 | S | 100 ⁽²⁾ | D | \$ 16.635 | 66,062 | | D |
| Common Stock | | | | | | 2,778.216 ⁽³⁾ | | D |
| Common Stock | | | | | | 598,222.8763 ⁽⁴⁾ | I | Additional shares held by ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Options (Right to Buy) | \$ 10 | 08/01/2011 | | M | 6,500 | 08/20/2002 08/19/2011 | Common Stock | 6,500 | |
| Non-Qualified Stock Options (Right to Buy) | \$ 10 | 08/01/2011 | | M | 8,500 | 08/20/2002 08/19/2011 | Common Stock | 8,500 | |
| Incentive Stock Options | \$ 5.46 | | | | | 05/17/2005 05/16/2014 | Common Stock | 20,000 | |

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.