

TEVENS TIMOTHY T
Form 4
August 03, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2011

AMHERST, NY 14228-1197

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | | | | | 160,933 ⁽¹⁾ | D | |
| Common Stock | 08/01/2011 | | M | | 6,705 | A | \$ 10 167,638 |
| Common Stock | 08/01/2011 | | M | | 13,295 | A | \$ 10 180,933 |
| Common Stock | 08/01/2011 | | S | | 700 ⁽²⁾ | D | \$ 16.22 180,233 |
| Common Stock | 08/01/2011 | | S | | 900 ⁽²⁾ | D | \$ 16.26 179,333 |

Edgar Filing: TEVENS TIMOTHY T - Form 4

| | | | | | | | |
|--------------|------------|---|------------------|---|----------|---------|---|
| Common Stock | 08/01/2011 | S | 500 <u>(2)</u> | D | \$ 16.27 | 178,833 | D |
| Common Stock | 08/01/2011 | S | 200 <u>(2)</u> | D | \$ 16.28 | 178,633 | D |
| Common Stock | 08/01/2011 | S | 500 <u>(2)</u> | D | \$ 16.3 | 178,133 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.31 | 178,033 | D |
| Common Stock | 08/01/2011 | S | 900 <u>(2)</u> | D | \$ 16.32 | 177,133 | D |
| Common Stock | 08/01/2011 | S | 1,000 <u>(2)</u> | D | \$ 16.33 | 176,133 | D |
| Common Stock | 08/01/2011 | S | 1,400 <u>(2)</u> | D | \$ 16.34 | 174,733 | D |
| Common Stock | 08/01/2011 | S | 800 <u>(2)</u> | D | \$ 16.35 | 173,933 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.36 | 173,633 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.37 | 173,333 | D |
| Common Stock | 08/01/2011 | S | 1,100 <u>(2)</u> | D | \$ 16.38 | 172,233 | D |
| Common Stock | 08/01/2011 | S | 487 <u>(2)</u> | D | \$ 16.39 | 171,746 | D |
| Common Stock | 08/01/2011 | S | 502 <u>(2)</u> | D | \$ 16.4 | 171,244 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.41 | 171,144 | D |
| Common Stock | 08/01/2011 | S | 11 <u>(2)</u> | D | \$ 16.42 | 171,133 | D |
| Common Stock | 08/01/2011 | S | 300 <u>(2)</u> | D | \$ 16.45 | 170,833 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.46 | 170,733 | D |
| Common Stock | 08/01/2011 | S | 200 <u>(2)</u> | D | \$ 16.49 | 170,533 | D |
| Common Stock | 08/01/2011 | S | 193 <u>(2)</u> | D | \$ 16.58 | 170,340 | D |
| Common Stock | 08/01/2011 | S | 100 <u>(2)</u> | D | \$ 16.6 | 170,240 | D |
| | 08/01/2011 | S | 500 <u>(2)</u> | D | \$ 16.61 | 169,740 | D |

Edgar Filing: TEVENS TIMOTHY T - Form 4

| | | | | | | | | | |
|--------------|------------|--|---|--------------------|---|-----------|---------------------------|---|-----------|
| Common Stock | | | | | | | | | |
| Common Stock | 08/01/2011 | | S | 100 ⁽²⁾ | D | \$ 16.615 | 169,640 | D | |
| Common Stock | 08/01/2011 | | S | 100 ⁽²⁾ | D | \$ 16.62 | 169,540 | D | |
| Common Stock | | | | | | | 5,756.2592 ⁽³⁾ | D | |
| Common Stock | | | | | | | 7,000 | I | By spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Am or Num of S | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Incentive Stock Options (Right to Buy) | \$ 10 | 08/01/2011 | | M | 6,705 | 08/20/2002 08/19/2011 | Common Stock | 6, | |
| Non-Qualified Stock Options (Right to Buy) | \$ 10 | 08/01/2011 | | M | 13,295 | 08/20/2002 08/19/2011 | Common Stock | 13 | |
| Incentive Stock Options (Right to Buy) | \$ 5.46 | | | | | 05/17/2005 05/16/2014 | Common Stock | 95 | |
| Incentive Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 05/18/2018 | Common Stock | 8, | |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 05/17/2019 | Common Stock | 45 | |

| | | | | | |
|---|----------|------------|------------|-----------------|----|
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | 05/17/2011 | 05/16/2020 | Common Stock | 33 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | 05/23/2011 | 05/22/2021 | Common Stock | 31 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197 | X | | President & CEO | |

Signatures

Timothy T.
Tevens

08/03/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Includes 57,775 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 12,055 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 3,086 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 5,133 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2012, 20,604 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 16,897 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (2) Sale of shares pursuant to Rule 10b5-1 Plan.
 - (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP") (incorrectly reported as 5,753.2099 on previous Form 4)..
 - (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
 - (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
 - (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.