Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ESTEE LAUDER COMPANIES INC

Form 4

November 13, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires:

2005 Estimated average

OMB APPROVAL

Section 16. Form 4 or

burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Lauder Jane A. Trust u/a/d 11/6/2003

(First)

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

ESTEE LAUDER COMPANIES

(Check all applicable)

5. Relationship of Reporting Person(s) to

INC [EL]

(Middle)

3. Date of Earliest Transaction

Director X 10% Owner Other (specify Officer (give title

(Month/Day/Year) 11/12/2013

C/O RICHARD D.

(Last)

PARSONS, PROVIDENCE EQUITY, 9 W. 57TH ST., #4700

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

> Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

NEW YORK, NY 10019

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(A)

(Instr. 3, 4 and 5)

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N Sl
Class B Common Stock	(1)	11/12/2013		J(2)		4,721,432 (3)	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	4
Class B Common Stock	(1)	11/12/2013		J(2)	4,721,432		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	4
Class B Common Stock	(1)	11/12/2013		<u>J(5)</u>		2,881,824 (3)	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	2
Class B Common Stock	(1)	11/12/2013		<u>J(5)</u>	2,881,824		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	2

Reporting Owners

Richard D. Parsons, Trustee, by Maureen Sladek,

Attorney-in-fact

Reporting Owner Name / Address	Relationships					
Topotong O mat 1 man 1 m	Director	10% Owner	Officer	Other		
Lauder Jane A. Trust u/a/d 11/6/2003 C/O RICHARD D. PARSONS PROVIDENCE EQUITY, 9 W. 57TH ST., #4700 NEW YORK, NY 10019		X				
TRUST F/B/O LAUDER AERIN & JANE U/A/D 12/15/76 BY ESTEE &JHL C/O RICHARD D. PARSONS PROVIDENCE EQUITY, 9 W. 57TH ST., #4700 NEW YORK, NY 10019		X				
TRUST F/B/O LAUDER AERIN & JANE U/A/D 12/15/76 BY R S LAUDER C/O RICHARD D. PARSONS PROVIDENCE EQUITY, 9 W. 57TH ST., #4700 NEW YORK, NY 10019		X				
LAUDER JANE C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153	X					
Signatures						

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11/13/2013

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**Signature of Reporting Person

Date

Jane Lauder, by Maureen Sladek, Attorney-in-fact

11/13/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of Class B Common Stock may be converted immediately into Class A Common Stock on a one-for-one basis by the holder and are automatically converted into Class A Common Stock on a one-for-one basis upon transfer to a person or entity that is not a
- "Permitted Transferee" or soon after a record date for a meeting of stockholders where the outstanding Class B Common Stock constitutes less than 10% of the outstanding shares of Common Stock of the Issuer.
- The Reporting Person acquired the shares of Class B Common Stock as a distribution from the separate share trust f/b/o Jane Lauder (2) within Trust f/b/o Aerin Lauder & Jane Lauder u/a/d 12/15/76 by Estee & JHL, the full name of which is Trust f/b/o Aerin Lauder and Jane Lauder, u/a/d December 15, 1976 Created by Estee Lauder and Joseph H. Lauder, as Grantors.
- (3) Amount reflects the two-for-one stock split of the Issuer on January 20, 2012.
- (4) Shares held by the Reporting Person are beneficially owned indirectly by Jane Lauder.
- The Reporting Person acquired the shares of Class B Common Stock as a distribution from the separate share trust f/b/o Jane Lauder (5) within the Trust f/b/o Aerin Lauder & Jane Lauder u/a/d 12/15/76 by RSL, the full name of which is Trust f/b/o Aerin Lauder and Jane Lauder, u/a/d December 15, 1976 Created by Ronald S. Lauder, as Grantor.

Remarks:

* The full name of the Reporting Person is the Jane A. Lauder 2003 Revocable Trust u/a/d November 6, 2003, Jane A. Laude Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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